

United States Senate

## Financial Disclosures

## Annual Report for Calendar 2024

The Honorable John P Ricketts (Ricketts, Pete)

Filed 05/15/2025 @ 5:20 PM

The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

I omitted assets because they meet the three-part test for exemption.

## Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200, or donate any amount to a charity on your or your spouse's behalf, for an article, speech, or appearance? **No**

## Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid	Comments
1	Self	Other (Taxable Income)	Northside Entertainment Holdings LLC Chicago, IL	\$38,263.00	n/a

## Part 3. Assets

Did you, your spouse, or dependent child own any asset that had a value of more than \$1,000 or generated income of more than \$200? **Yes**

	Asset	Asset Type	Owner	Value	Income Type	Income
1	<b>South Dakota Retirement System</b>	Retirement Plans Defined Benefit Pension Plan	Spouse	\$15,001 - \$50,000	None	None (or less than \$201)
2	<b>Lutz Account 1</b>	Brokerage/Managed Account	Joint	--		
2.1	<b>Boys Town Village Neb Revenue Bond</b> <i>Rate/Coupon: 3.0%</i> <i>Matures: 09/01/2028</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
2.2	<b>Buffalo County Neb General Obligation Bond</b> <i>Rate/Coupon: 2.0%</i> <i>Matures: 12/15/2027</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
2.3	<b>Cass County Neb General Obligation Bond</b> <i>Rate/Coupon: 2.5%</i> <i>Matures: 12/15/2025</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
2.4	<b>Crete Neb Hwy Allocation Fund Revenue Bond</b> <i>Rate/Coupon: 2.15%</i> <i>Matures: 12/15/2024</i> <i>Filer comment: Matured</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest	\$201 - \$1,000
2.5	<b>DFCEX - Dfa Emerging Markets Core Equity Portfolio</b>	Mutual Funds Mutual Fund	Joint	\$1,000,001 - \$5,000,000	Excepted Investment Fund	\$15,001 - \$50,000
2.6	<b>DFIEX - Dfa International Core Equity Portfolio</b>	Mutual Funds Mutual Fund	Joint	\$1,000,001 - \$5,000,000	Excepted Investment Fund	\$100,001 - \$1,000,000
2.7	<b>DFGFX - Dfa Two-Year Global Fixed Income Portfolio</b>	Mutual Funds Mutual Fund	Joint	\$500,001 - \$1,000,000	Excepted Investment Fund	\$15,001 - \$50,000
2.8	<b>DFAS - Dimensional U.S. Small Cap ETF</b>	Mutual Funds Exchange Traded Fund/Note	Joint	\$1,000,001 - \$5,000,000	Excepted Investment Fund	\$5,001 - \$15,000
2.9	<b>DFAT - Dimensional U.S. Targeted Value ETF</b>	Mutual Funds Exchange Traded Fund/Note	Joint	\$500,001 - \$1,000,000	Excepted Investment Fund	\$5,001 - \$15,000

	Asset	Asset Type	Owner	Value	Income Type	Income
2.10	<b>Douglas County Neb General Obligation Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 12/15/2024</i> <i>Filer comment: Matured</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
2.11	<b>Douglas County Neb General Obligation Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 12/15/2025</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
2.12	<b>Douglas County Neb General Obligation Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 06/15/2026</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
2.13	<b>Fremont Neb General Obligation Bond</b> <i>Rate/Coupon: 1.25%</i> <i>Matures: 12/15/2026</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest	\$201 - \$1,000
2.14	<b>Hall County Neb School Dist Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 12/15/2026</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
2.15	<b>ISTB - iShares Core 1-5 Year USD Bond ETF</b>	Mutual Funds Exchange Traded Fund/Note	Joint	None (or less than \$1,001)	Excepted Investment Fund	\$5,001 - \$15,000
2.16	<b>IJJ - iShares S&amp;P Mid-Cap 400 Value ETF</b>	Mutual Funds Exchange Traded Fund/Note	Joint	\$1,000,001 - \$5,000,000	Excepted Investment Fund	\$15,001 - \$50,000
2.17	<b>TIP - iShares TIPS Bond ETF</b>	Mutual Funds Exchange Traded Fund/Note	Joint	\$500,001 - \$1,000,000	Excepted Investment Fund	\$15,001 - \$50,000
2.18	<b>IGSB - iShares 1-5 Year Investment Grade Corporate Bond E</b>	Mutual Funds Exchange Traded Fund/Note	Joint	\$500,001 - \$1,000,000	Excepted Investment Fund	\$15,001 - \$50,000
2.19	<b>Kearney County Neb General Obligation Bond</b> <i>Rate/Coupon: 3.0%</i> <i>Matures: 06/01/2028</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500

	Asset	Asset Type	Owner	Value	Income Type	Income
2.20	<b>Keith County Neb Sch Dist General Obligation Bond</b> <i>Rate/Coupon: 2.4%</i> <i>Matures: 12/15/2027</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
2.21	<b>LA Vista Neb General Obligation Bond</b> <i>Rate/Coupon: 3.0%</i> <i>Matures: 12/15/2027</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
2.22	<b>Louisville Neb General Obligation Bond</b> <i>Rate/Coupon: 1.55%</i> <i>Matures: 12/15/2024</i> <i>Filer comment: Matured</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest	\$201 - \$1,000
2.23	<b>Metropolitan Utils Dist Omaha Neb Revenue Bond</b> <i>Rate/Coupon: 3.2%</i> <i>Matures: 12/01/2028</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
2.24	<b>Metropolitan Cmnty College Area Neb Revenue Bond</b> <i>Rate/Coupon: 3.0%</i> <i>Matures: 03/01/2032</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
2.25	<b>Metropolitan Utils Dist Omaha Neb Revenue Bond</b> <i>Rate/Coupon: 3.0%</i> <i>Matures: 12/01/2032</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
2.26	<b>Papillion Neb General Obligation Bond</b> <i>Rate/Coupon: 2.75%</i> <i>Matures: 12/15/2024</i> <i>Filer comment: Matured</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
2.27	<b>Papillion Neb Sch Dist General Obligation Bond</b> <i>Rate/Coupon: 3.0%</i> <i>Matures: 12/01/2026</i>	Government Securities Municipal Security	Joint	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
2.28	<b>STPZ - PIMCO 1-5 Year U.S. TIPS Index Exchange-Traded Fun</b>	Mutual Funds Exchange Traded Fund/Note	Joint	\$250,001 - \$500,000	Excepted Investment Fund	\$5,001 - \$15,000

	Asset	Asset Type	Owner	Value	Income Type	Income
2.29	<b>Platte County Neb Sch Dist General Obligation Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 12/15/2025</i> <i>Filer comment: Redeemed</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
2.30	<b>Polk County Neb General Obligation Bond</b> <i>Rate/Coupon: 3.0%</i> <i>Matures: 06/15/2027</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
2.31	<b>Sarpy County Neb Sch Dist General Obligation Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 06/15/2025</i>	Government Securities Municipal Security	Joint	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
2.32	<b>Sarpy County Neb Sch Dist General Obligation Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 06/15/2028</i>	Government Securities Municipal Security	Joint	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
2.33	<b>Saunders County Neb General Obligation Bond</b> <i>Rate/Coupon: 3.0%</i> <i>Matures: 11/01/2027</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
2.34	<b>Charles Schwab</b> (New York, NY) <i>Type: Brokerage Sweep Account</i>	Bank Deposit	Joint	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
2.35	<b>Scotts Bluff County General Obligation Bond</b> <i>Rate/Coupon: 1.7%</i> <i>Matures: 01/15/2026</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest	\$201 - \$1,000
2.36	<b><u>SPY</u> - S&amp;P 500 SPDR</b>	Mutual Funds Exchange Traded Fund/Note	Joint	\$1,000,001 - \$5,000,000	Excepted Investment Fund	\$15,001 - \$50,000
2.37	<b><u>SPYV</u> - SPDR S&amp;P 500 Value Portfolio ETF</b>	Mutual Funds Exchange Traded Fund/Note	Joint	\$1,000,001 - \$5,000,000	Excepted Investment Fund	\$50,001 - \$100,000
2.38	<b><u>SLYV</u> - S&amp;P Smallcap Value ETF SPDR</b>	Mutual Funds Exchange Traded Fund/Note	Joint	\$250,001 - \$500,000	Excepted Investment Fund	\$5,001 - \$15,000

	Asset	Asset Type	Owner	Value	Income Type	Income
2.39	<b><u>MDY</u> - S&amp;P Midcap 400 SPDR</b>	Mutual Funds Exchange Traded Fund/Note	Joint	\$1,000,001 - \$5,000,000	Excepted Investment Fund	\$15,001 - \$50,000
2.40	<b>Stanton County Neb Util Revenue Bond</b> <i>Rate/Coupon: 1.7%</i> <i>Matures: 06/01/2027</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
2.41	<b><u>VTEB</u> - Vanguard Tax-Exempt Bond ETF</b>	Mutual Funds Exchange Traded Fund/Note	Joint	\$1,000,001 - \$5,000,000	Excepted Investment Fund	\$50,001 - \$100,000
2.42	<b><u>VNQ</u> - Vanguard Real Estate ETF</b>	Mutual Funds Exchange Traded Fund/Note	Joint	None (or less than \$1,001)	Excepted Investment Fund	\$100,001 - \$1,000,000
2.43	<b><u>VOO</u> - Vanguard S&amp;P 500 ETF</b>	Mutual Funds Exchange Traded Fund/Note	Joint	\$100,001 - \$250,000	Excepted Investment Fund	\$2,501 - \$5,000
2.44	<b>Schwab U.S. TIPS ETF (SCHP)</b>	Mutual Funds Exchange Traded Fund/Note	Joint	\$50,001 - \$100,000	Excepted Investment Fund	\$1,001 - \$2,500
2.45	<b>Vanguard Total Bond Market Index Fund (BND)</b>	Mutual Funds Exchange Traded Fund/Note	Joint	\$1,000,001 - \$5,000,000	Excepted Investment Fund	\$15,001 - \$50,000
2.46	<b><u>DFGBX</u> - Dfa Five-Year Global Fixed Income Portfolio</b>	Mutual Funds Mutual Fund	Joint	\$250,001 - \$500,000	Excepted Investment Fund	\$15,001 - \$50,000
2.47	<b><u>DFEM</u> - Dimensional Emerging Markets Core Equity 2 ETF</b>	Mutual Funds Exchange Traded Fund/Note	Joint	\$50,001 - \$100,000	Excepted Investment Fund	\$1,001 - \$2,500
2.48	<b><u>DUHP</u> - Dimensional US High Profitability ETF</b>	Mutual Funds Exchange Traded Fund/Note	Joint	\$100,001 - \$250,000	Excepted Investment Fund	\$201 - \$1,000
2.49	<b><u>MBB</u> - iShares MBS ETF</b>	Mutual Funds Exchange Traded Fund/Note	Joint	\$250,001 - \$500,000	Excepted Investment Fund	\$15,001 - \$50,000
3	<b>UBS Account 6</b>	Brokerage/Managed Account	Spouse	--		

	Asset	Asset Type	Owner	Value	Income Type	Income
3.1	<b>Baldwin County AL General Obligation Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 05/01/2026</i> <i>Filer comment: Called</i>	Government Securities Municipal Security	Spouse	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
3.2	<b>Chatham County NC Limited Obligation Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 11/01/2031</i>	Government Securities Municipal Security	Spouse	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
3.3	<b>Florida St Bd of Educ Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 06/01/2032</i>	Government Securities Municipal Security	Spouse	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
3.4	<b>Florida St Rt of Way Construction Ref Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 06/01/2030</i>	Government Securities Municipal Security	Spouse	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
3.5	<b>Hennepin County MN General Obligation Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 12/01/2032</i>	Government Securities Municipal Security	Spouse	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
3.6	<b>Jefferson County CO Sch Dist Revenue Bond</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 12/15/2033</i>	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
3.7	<b>Liberty Hill TX Indpt Sch Dist Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 02/01/2032</i>	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
3.8	<b>Maricopa County AZ Sch Dist Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2027</i>	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
3.9	<b>Metropolitan Govt Nashville &amp; Davidson TN Water &amp; Sewer Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2033</i>	Government Securities Municipal Security	Spouse	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500

	Asset	Asset Type	Owner	Value	Income Type	Income
3.10	<b>Merrill Lynch</b> (New York, NY) <i>Type: Brokerage Sweep Account</i>	Bank Deposit	Spouse	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
3.11	<b>New York NY Transition Fin Auth Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 11/01/2032</i>	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
3.12	<b>New York NY Transition Fin Auth Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 11/01/2032</i>	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
3.13	<b>New York NY Transition Fin Auth Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 11/01/2032</i>	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
3.14	<b>New York NY Transition Fin Auth Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 11/01/2037</i>	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
3.15	<b>New York St Dorm Auth Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 03/15/2034</i>	Government Securities Municipal Security	Spouse	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
3.16	<b>New York St Dorm Auth Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 03/15/2029</i>	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
3.17	<b>Richland County SC Sch Dist General Obligation Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 03/01/2025</i>	Government Securities Municipal Security	Spouse	\$15,001 - \$50,000	Interest, Capital Gains	\$1,001 - \$2,500
3.18	<b>Texas Water Dev Brd Revenue Bond</b> <i>Rate/Coupon: 4.55%</i> <i>Matures: 10/15/2038</i>	Government Securities Municipal Security	Spouse	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000

	Asset	Asset Type	Owner	Value	Income Type	Income
3.19	<b>U.S. Treasury Note 11/15/2032</b> <i>Rate/Coupon: None</i> <i>Matures: None</i> <i>Filer comment: 4.125%, 11/15/2032</i>	Government Securities US Treasury/Agency Security	Spouse	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
3.20	<b>U.S. Treasury Note 12/31/2024</b> <i>Rate/Coupon: None</i> <i>Matures: None</i> <i>Filer comment: 4.25%, 12/31/2024; Matured</i>	Government Securities US Treasury/Agency Security	Spouse	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
3.21	<b>Univ of Wyoming Revenue Bond</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 06/01/2038</i>	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
3.22	<b>Virginia St General Obligation Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 06/01/2036</i>	Government Securities Municipal Security	Spouse	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
3.23	<b>Washington County UT Sch Dist General Obligation Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 03/01/2030</i>	Government Securities Municipal Security	Spouse	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
3.24	<b>Wisconsin St Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2027</i>	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
3.25	<b>Woods Hole Mass St Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 03/01/2028</i>	Government Securities Municipal Security	Spouse	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
4	<b>UBS Account 1</b>	Brokerage/Managed Account	Joint	--		
4.1	<b>SCHW - Charles Schwab Corporation (The) Common Stock</b>	Corporate Securities Stock	Joint	\$5,000,001 - \$25,000,000	Dividends	\$100,001 - \$1,000,000

	Asset	Asset Type	Owner	Value	Income Type	Income
4.2	<b>UBS Bank</b> (New York, NY) <i>Type: Brokerage Sweep Account, Money Market Account, Savings</i>	Bank Deposit	Joint	\$500,001 - \$1,000,000	Interest	\$50,001 - \$100,000
5	<b>JPR Spc Trust</b> <i>Filer comment: Disclosed asset values represent spousal beneficial interest of 25%</i>	Trust General Trust	Spouse	--		
5.1	<b>Merrill Lynch Acct 5</b>	Brokerage/Managed Account	Spouse	--		
5.1.1	<b>CSP III Distressed Opportunities Trust</b> <i>Description: Private Investment Partnership (New York, NY)</i>	Investment Fund Private Equity Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund	\$201 - \$1,000
5.1.2	<b>GSO Mezzanine Finance Trust</b> <i>Description: Private Investment Partnership (New York, NY)</i>	Investment Fund Private Equity Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund	\$201 - \$1,000
5.1.3	<b>GSO Mezzanine Finance Trust II</b> <i>Description: Private Investment Partnership (New York, NY)</i>	Investment Fund Private Equity Fund	Spouse	\$50,001 - \$100,000	None	None (or less than \$201)
5.1.4	<b>ORBIMED Healthcare Investments Trust</b> <i>Description: Private Investment Partnership (New York, NY)</i>	Investment Fund Private Equity Fund	Spouse	\$15,001 - \$50,000	None, Excepted Investment Fund	\$2,501 - \$5,000
5.1.5	<b>Merrill Lynch</b> (New York, NY) <i>Type: Brokerage Sweep Account</i>	Bank Deposit	Spouse	\$50,001 - \$100,000	Interest	None (or less than \$201)
5.2	<b>UBS Account 7</b>	Brokerage/Managed Account	Spouse	--		
5.2.1	<b>MUB - iShares National Muni Bond ETF</b>	Mutual Funds Exchange Traded Fund/Note	Spouse	\$250,001 - \$500,000	Excepted Investment Fund	\$5,001 - \$15,000

	Asset	Asset Type	Owner	Value	Income Type	Income
5.2.2	<b>UBS Bank</b> (New York, NY) <i>Type: Brokerage Sweep Account</i>	Bank Deposit	Spouse	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
5.2.3	<b>VEA - Vanguard FTSE Developed Markets ETF</b>	Mutual Funds Exchange Traded Fund/Note	Spouse	\$250,001 - \$500,000	Excepted Investment Fund	\$5,001 - \$15,000
5.2.4	<b>VWO - Vanguard FTSE Emerging Markets ETF</b>	Mutual Funds Exchange Traded Fund/Note	Spouse	\$250,001 - \$500,000	Excepted Investment Fund	\$5,001 - \$15,000
5.2.5	<b>VO - Vanguard Mid-Cap ETF</b>	Mutual Funds Exchange Traded Fund/Note	Spouse	\$250,001 - \$500,000	Excepted Investment Fund	\$5,001 - \$15,000
5.2.6	<b>Vanguard S&amp;P 500 ETF (VOO)</b>	Mutual Funds Exchange Traded Fund/Note	Spouse	\$500,001 - \$1,000,000	Excepted Investment Fund	\$5,001 - \$15,000
5.2.7	<b>Vanguard Small-Cap Index Fund ETF Shares (VB)</b>	Mutual Funds Exchange Traded Fund/Note	Spouse	\$250,001 - \$500,000	Excepted Investment Fund	\$2,501 - \$5,000
5.2.8	<b>IGLB - iShares 10 Year Investment Grade Corporate Bond E</b>	Mutual Funds Exchange Traded Fund/Note	Spouse	\$250,001 - \$500,000	Excepted Investment Fund	\$5,001 - \$15,000
5.3	<b>RIFI LLC</b> <i>Company: RIFI LLC (Wilmington, DE) Description: Holding company</i>	Business Entity Limited Liability Company (LLC)	Spouse	--		
5.3.1	<b>SCHW - The Charles Schwab Corp</b>	Corporate Securities Stock	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Dividends	\$100,001 - \$1,000,000

	Asset	Asset Type	Owner	Value	Income Type	Income
5.3.2	<b>UBS Bank</b> (New York, NY) <i>Type: Brokerage Sweep Account</i>	Bank Deposit	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$50,001 - \$100,000
5.3.3	<b>Note receivable from JPR Spc Trust</b> <i>Company: JPR Spc Trust (Wilmington, DE)</i>	Accounts Receivable From a Business	Spouse	Over \$1,000,000 and held independently by spouse or dependent child	Interest	\$100,001 - \$1,000,000
6	<b>Non-Exempt Trust A</b> <i>Filer comment: Asset valuation reflects reportable portion; transaction reports not required due to indirect trust</i>	Trust General Trust	Joint	--		
6.1	<b>UBS Account 2</b>	Brokerage/Managed Account	Joint	--		
6.1.1	<b>UBS Bank</b> (New York, NY) <i>Type: Brokerage Sweep Account, Savings</i>	Bank Deposit	Joint	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
6.1.2	<b>IEFA - iShares Core MSCI EAFE ETF</b>	Mutual Funds Exchange Traded Fund/Note	Joint	\$1,000,001 - \$5,000,000	Excepted Investment Fund	\$50,001 - \$100,000
6.1.3	<b>IEMG - iShares Core MSCI Emerging Markets ETF</b>	Mutual Funds Exchange Traded Fund/Note	Joint	\$500,001 - \$1,000,000	Excepted Investment Fund	\$15,001 - \$50,000
6.1.4	<b>IVV - iShares Core S&amp;P 500 ETF</b>	Mutual Funds Exchange Traded Fund/Note	Joint	\$1,000,001 - \$5,000,000	Excepted Investment Fund	\$100,001 - \$1,000,000
6.1.5	<b>JP Morgan Chase &amp; Co. Perpetual Bond</b> <i>Rate/Coupon: 8.549% Matures: 05/20/2049</i>	Corporate Securities Corporate Bond	Joint	None (or less than \$1,001)	Interest	\$1,001 - \$2,500

	Asset	Asset Type	Owner	Value	Income Type	Income
6.1.6	<b>Citigroup Inc. Perpetual</b> <i>Rate/Coupon: 7.125%</i> <i>Matures: 11/15/2073</i>	Corporate Securities Corporate Bond	Joint	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
6.1.7	<b>Fifth Third Bancorp Perpetual</b> <i>Rate/Coupon: 4.5%</i> <i>Matures: 07/30/2069</i>	Corporate Securities Corporate Bond	Joint	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
6.1.8	<b>Bank of America Savings 2</b> (New York, NY) <i>Type: Savings</i>	Bank Deposit	Joint	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
6.2	<b>JP Morgan Brokerage</b>	Brokerage/Managed Account	Joint	--		
6.2.1	<b><u>BSIIX</u> - Blackrock Strategic Income Opportunities Portfol</b>	Mutual Funds Mutual Fund	Joint	\$50,001 - \$100,000	None	None (or less than \$201)
6.2.2	<b><u>DMCRX</u> - Driehaus Micro Cap Growth Fund</b>	Mutual Funds Mutual Fund	Joint	\$100,001 - \$250,000	Excepted Investment Fund	\$2,501 - \$5,000
6.2.3	<b><u>PMFLX</u> - Pimco Flexible Municipal Income Fund Institution</b>	Mutual Funds Mutual Fund	Joint	\$250,001 - \$500,000	Excepted Investment Fund	\$15,001 - \$50,000
6.2.4	<b><u>RMBMX</u> - Rmb Smid Cap Fund Class I</b>	Mutual Funds Mutual Fund	Joint	\$100,001 - \$250,000	Excepted Investment Fund	\$1,001 - \$2,500
6.2.5	<b>Citibank</b> (New York, NY) <i>Type: Brokerage Sweep Account</i>	Bank Deposit	Joint	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
6.3	<b>JP Morgan Brokerage Acct 2</b>	Brokerage/Managed Account	Joint	--		
6.3.1	<b>Bank of America Perpetual</b> <i>Rate/Coupon: 5.125%</i> <i>Matures: 12/31/2049</i> <i>Filer comment: Income treated as dividends due to derivative nature of asset</i>	Corporate Securities Corporate Bond	Joint	None (or less than \$1,001)	Dividends	\$2,501 - \$5,000

	Asset	Asset Type	Owner	Value	Income Type	Income
6.3.2	<b>Citigroup Inc. Perpetual</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 12/31/2049</i> <i>Filer comment: Income treated as dividends due to derivative nature of asset</i>	Corporate Securities Corporate Bond	Joint	None (or less than \$1,001)	Dividends	\$2,501 - \$5,000
6.3.3	<b>Citigroup Inc. Perpetual</b> <i>Rate/Coupon: 4.7%</i> <i>Matures: 12/31/2049</i> <i>Filer comment: Income treated as dividends due to derivative nature of asset</i>	Corporate Securities Corporate Bond	Joint	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
6.3.4	<b>Goldman Sachs Group Perpetual</b> <i>Rate/Coupon: 4.95%</i> <i>Matures: 12/31/2049</i> <i>Filer comment: Income treated as dividends due to derivative nature of asset</i>	Corporate Securities Corporate Bond	Joint	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
6.3.5	<b>Citigroup Inc. Perpetual</b> <i>Rate/Coupon: 7.2%</i> <i>Matures: 12/31/2049</i> <i>Filer comment: Income treated as dividends due to derivative nature of asset</i>	Corporate Securities Corporate Bond	Joint	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
6.3.6	<b>Goldman Sachs Group Perpetual</b> <i>Rate/Coupon: 7.5%</i> <i>Matures: 04/23/2173</i> <i>Filer comment: Income treated as dividends due to derivative nature of asset</i>	Corporate Securities Corporate Bond	Joint	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
6.4	<b>UBS Account 8</b>	Brokerage/Managed Account	Joint	--		
6.4.1	<b>SCHW - Charles Schwab Corporation (The) Common Stock</b>	Corporate Securities Stock	Joint	\$5,000,001 - \$25,000,000	Dividends	\$50,001 - \$100,000

	Asset	Asset Type	Owner	Value	Income Type	Income
6.4.2	<b>SCHW - Charles Schwab Corporation (The) Common Stock</b> <i>Strike price: \$88.00   Call Expires: 02/21/2025</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains	\$50,001 - \$100,000
6.4.3	<b>UBS Bank</b> (New York, NY) <i>Type: Brokerage Sweep Account</i>	Bank Deposit	Joint	\$1,000,001 - \$5,000,000	Interest	\$15,001 - \$50,000
6.5	<b>UBS Account 3</b>	Brokerage/Managed Account	Joint	--		
6.5.1	<b>UBS Bank</b> (New York, NY) <i>Type: Brokerage Sweep Account, Savings</i>	Bank Deposit	Joint	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
6.5.2	<b>Dalton Whitfield County Dev Auth Revenue Bond</b> <i>Rate/Coupon: 5.0% Matures: 08/15/2032</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	None	None (or less than \$201)
6.6	<b>Bank of America - Nuveen Muni Account</b>	Brokerage/Managed Account	Joint	--		
6.6.1	<b>Albuquerque NM Mun Sch Dist General Obligation Bond</b> <i>Rate/Coupon: 5.0% Matures: 08/01/2035</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest	\$201 - \$1,000
6.6.2	<b>Broward County Florida Airport System Revenue Bond</b> <i>Rate/Coupon: 5.0% Matures: 10/01/2033</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
6.6.3	<b>Buffalo Grove IL General Obligation Bond</b> <i>Rate/Coupon: 5.0% Matures: 12/30/2037</i>	Government Securities Municipal Security	Joint	\$1,001 - \$15,000	Interest	\$201 - \$1,000
6.6.4	<b>Cooper Green Mercy Health Svcs Auth AL Revenue Bond</b> <i>Rate/Coupon: 5.0% Matures: 09/01/2038</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500

	Asset	Asset Type	Owner	Value	Income Type	Income
6.6.5	<b>Collier County FL Wtr-Swr Dist Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2034</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest	\$201 - \$1,000
6.6.6	<b>Bank of America</b> (New York, NY) <i>Type: Brokerage Sweep Account</i>	Bank Deposit	Joint	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000
6.6.7	<b>Douglas County Neb Hosp Auth Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 11/15/2035</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
6.6.8	<b>Douglas County Neb Sch Dist General Obligation Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 12/1/2039</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
6.6.9	<b>Elk Grove Village IL General Obligation Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 01/01/2029</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest	\$201 - \$1,000
6.6.10	<b>Forsyth County GA General Obligation Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 03/01/2026</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest	\$201 - \$1,000
6.6.11	<b>Georgia St General Obligation Bond</b> <i>Rate/Coupon: 4.503%</i> <i>Matures: 11/01/2025</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
6.6.12	<b>Greater Asheville NC Regl Arpt Auth Revenue Bone</b> <i>Rate/Coupon: 5.25%</i> <i>Matures: 07/01/2038</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
6.6.13	<b>Hawaii St Arpt Auth Revenue Bond</b> <i>Rate/Coupon: 2.008%</i> <i>Matures: 07/01/2024</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest	\$201 - \$1,000

	Asset	Asset Type	Owner	Value	Income Type	Income
6.6.14	<b>Hillsborough County FL Aviation Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 10/01/2033</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
6.6.15	<b>Idaho Health Facs Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 03/01/2037</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
6.6.16	<b>Illinois St General Obligation Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 11/01/2024</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
6.6.17	<b>Indiana Fin Auth Health Sys Revenue Bond</b> <i>Rate/Coupon: 2.25%</i> <i>Matures: 12/01/2058</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
6.6.18	<b>Kentucky Rural Water Fin Corp Revenue Bond</b> <i>Rate/Coupon: 3.5%</i> <i>Matures: 08/01/2024</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
6.6.19	<b>Las Vegas Valley NV Water Dist Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 06/01/2039</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest	\$201 - \$1,000
6.6.20	<b>Lincoln Neb Elec Sys Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 09/01/2034</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
6.6.21	<b>Love Field TX Airport Modernization Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 11/01/2031</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest	\$201 - \$1,000
6.6.22	<b>Madison AL General Obligation Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 12/01/2034</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000

	Asset	Asset Type	Owner	Value	Income Type	Income
6.6.23	<b>Maricopa County AZ Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 01/01/2033</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
6.6.24	<b>Maricopa County AZ Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 01/01/2031</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest	\$201 - \$1,000
6.6.25	<b>Massachusetts St General Obligation Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 10/01/2038</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
6.6.26	<b>Metropolitan Washington DC Arpts Auth Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 10/01/2030</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
6.6.27	<b>Michigan St Fin Auth Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 11/15/2034</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
6.6.28	<b>Missouri St Health &amp; Educational Facs Auth Revenue Bond</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 11/15/2042</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
6.6.29	<b>Montana St Fin Auth Hosp Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 02/15/2031</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
6.6.30	<b>Montgomery County TX Indpt Sch Dist Revenue Bond</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 02/15/2033</i>	Government Securities Municipal Security	Joint	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
6.6.31	<b>Nebraska Invt Hsg Fin Auth Revenue Bond</b> <i>Rate/Coupon: 4.125%</i> <i>Matures: 09/01/2038</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000

	Asset	Asset Type	Owner	Value	Income Type	Income
6.6.32	<b>Nebraska Public Pwr Dist Revenue Bond</b> <i>Rate/Coupon: 2.216%</i> <i>Matures: 01/01/2024</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest	\$201 - \$1,000
6.6.33	<b>New York NY General Obligation Bond</b> <i>Rate/Coupon: 5.646%</i> <i>Matures: 06/01/2027</i>	Government Securities Municipal Security	Joint	\$1,001 - \$15,000	Interest	\$201 - \$1,000
6.6.34	<b>New York St Dorm Auth Revenue Bond</b> <i>Rate/Coupon: 1.748%</i> <i>Matures: 03/15/2028</i>	Government Securities Municipal Security	Joint	\$1,001 - \$15,000	Interest	\$201 - \$1,000
6.6.35	<b>Ohio St Hospital Facs Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 01/01/2031</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
6.6.36	<b>Omaha Neb Public Pwr Dist Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 02/01/2031</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest	\$201 - \$1,000
6.6.37	<b>Omaha Neb Public Pwr Dist Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 02/01/2034</i>	Government Securities Municipal Security	Joint	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
6.6.38	<b>Omaha Neb Public Pwr Dist Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 02/01/2030</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
6.6.39	<b>Omaha Neb Public Pwr Dist Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 02/01/2036</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
6.6.40	<b>Port Auth of NY &amp; NJ Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/15/2032</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000

	Asset	Asset Type	Owner	Value	Income Type	Income
6.6.41	<b>Reedy Creek Improvement Dist FL Revenue Bond</b> <i>Rate/Coupon: 1.869%</i> <i>Matures: 06/01/2026</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
6.6.42	<b>Sarpy County Neb Sch Dist General Obligation Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 12/15/2027</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
6.6.43	<b>Southeast Cmnty College Area Neb Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 12/15/2027</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
6.6.44	<b>Tallahassee FL Util Sys Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 10/01/2033</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
6.6.45	<b>Texas Tech Univ Revenue Bond</b> <i>Rate/Coupon: 2.939%</i> <i>Matures: 02/15/2024</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest	\$201 - \$1,000
6.6.46	<b>Texas St General Obligation Bond</b> <i>Rate/Coupon: 5.5%</i> <i>Matures: 08/01/2030</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
6.6.47	<b>Texas St Ref Mobility Fd Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 10/01/2035</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
6.6.48	<b>University of Illinois Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 04/01/2039</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest	\$201 - \$1,000
6.6.49	<b>University of Nebraska Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 05/15/2029</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000

	Asset	Asset Type	Owner	Value	Income Type	Income
6.6.50	<b>Utah St Bd of Regents Revenue Bond</b> <i>Rate/Coupon: 5.847%</i> <i>Matures: 08/01/2024</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest	\$201 - \$1,000
6.6.51	<b>Utah St Bd of Regents Revenue Bond</b> <i>Rate/Coupon: 1.99%</i> <i>Matures: 11/01/2024</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
6.6.52	<b>Virginia Sm Business Fin Auth Revenue Bond</b> <i>Rate/Coupon: 5.05</i> <i>Matures: 10/01/2040</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
6.6.53	<b>Virginia St Hsg Dev Auth Revenue Bond</b> <i>Rate/Coupon: 3.25%</i> <i>Matures: 10/01/2026</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
6.6.54	<b>Washington St Healthcare Facs Auth Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 08/01/2049</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
6.6.55	<b>Westfield Ind High Sch Bldg Corp Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/15/2033</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
6.6.56	<b>Westminster CO Wtr Revenue Bond</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 12/01/2035</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
6.6.57	<b>Broward County Florida Airport System Revenue Bond</b> <i>Rate/Coupon: 5.25%</i> <i>Matures: 10/01/2025</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
6.6.58	<b>Cherry Creek Colorado Sch Dist General Obligation Bond</b> <i>Rate/Coupon: 2.1%</i> <i>Matures: 12/15/2027</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500

	Asset	Asset Type	Owner	Value	Income Type	Income
6.6.59	<b>Chicago Ill Midway Airport Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 01/01/2029</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
6.6.60	<b>Clark County Washington Pub Util Dist Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 01/01/2024</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest	\$201 - \$1,000
6.6.61	<b>Decatur Texas Hospital Auth Revenue Bond</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 09/01/2034</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
6.6.62	<b>Douglas County Kansas Sch Dist General Obligation Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 09/01/2037</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
6.6.63	<b>Greater Orlando Aviation Auth Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 10/01/2027</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
6.6.64	<b>Greenwood County SC Hospital Facs Revenue Bond</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 10/01/2038</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
6.6.65	<b>Hawaii St Dept Transportation Airports Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 08/01/2027</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
6.6.66	<b>Illinois St Toll Hwy Auth Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 11/01/2038</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
6.6.67	<b>Intermountain Power Agy Utah Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2036</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000

	Asset	Asset Type	Owner	Value	Income Type	Income
6.6.68	<b>Jersey City N J Taxable Revenue Bond</b> <i>Rate/Coupon: 6.0%</i> <i>Matures: 07/12/2024</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
6.6.69	<b>Metropolitan Govt Nashville &amp; Davidson TN Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2035</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
6.6.70	<b>Miami-Dade County FL Wtr &amp; Swr Revenue Bond</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 10/01/2039</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
6.6.71	<b>Nebraska Invnt Hsg Fin Auth Revenue Bond</b> <i>Rate/Coupon: 4.3%</i> <i>Matures: 09/01/2038</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	None	None (or less than \$201)
6.6.72	<b>New Jersey St Transn Fin Auth Revenue Bond</b> <i>Rate/Coupon: 5.25%</i> <i>Matures: 6/15/2032</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
6.6.73	<b>North Carolina Housing Fin Agency Revenue Bond</b> <i>Rate/Coupon: 4.2%</i> <i>Matures: 07/01/2040</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
6.6.74	<b>New York St Dorm Auth Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 03/15/2039</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
6.6.75	<b>Orland Park Ill General Obligation Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 12/01/2036</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
6.6.76	<b>Raleigh NC Comb Enterprise Sys Revenue Bond</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 12/01/2034</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest	\$201 - \$1,000

	Asset	Asset Type	Owner	Value	Income Type	Income
6.6.77	<b>South Carolina Jobs Revenue Bond</b> <i>Rate/Coupon: 2.729%</i> <i>Matures: 07/01/2030</i>	Government Securities Municipal Security	Joint	\$1,001 - \$15,000	Interest	None (or less than \$201)
6.6.78	<b>Terre Haute Ind San Dist Revenue Bond</b> <i>Rate/Coupon: 5.25%</i> <i>Matures: 09/28/2028</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
6.6.79	<b>Triborough Bridge &amp; Tunnel NY Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 05/15/2039</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
6.6.80	<b>University of California Revenue Bond</b> <i>Rate/Coupon: 0.883%</i> <i>Matures: 05/15/2025</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest	\$201 - \$1,000
6.6.81	<b>Virginia St Pub Bldg Auth Revenue Bond</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 08/01/2031</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
6.6.82	<b>Washington St Healthcare Facs Auth Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 10/01/2038</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
6.6.83	<b>Colorado Health Facs Auth Revenue Bond</b> <i>Rate/Coupon: 5.5%</i> <i>Matures: 11/01/2047</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
6.6.84	<b>Florida State Turnpike Auth Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2040</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	None	None (or less than \$201)
6.6.85	<b>Galveston Texas Wharves &amp; Term Revenue Bond Ser A</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 08/01/2033</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	None	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
6.6.86	<b>Hall County Nebraska Sch Dist No 2 Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 12/15/2035</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	None	None (or less than \$201)
6.6.87	<b>Holt Michigan Pub Schools General Obligation Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 05/01/2041</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	None	None (or less than \$201)
6.6.88	<b>Idaho Hsg &amp; Finance Revenue Bond</b> <i>Rate/Coupon: 5.25%</i> <i>Matures: 08/15/2048</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	None	None (or less than \$201)
6.6.89	<b>Irving Texas Indpt Sch Dist Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 02/15/2040</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
6.6.90	<b>Louisiana Pub Facs Auth Hospital Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2047</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	None	None (or less than \$201)
6.6.91	<b>Metropolitan Washington DC Arpts Auth Revenue Bond</b> <i>Rate/Coupon: 6.625%</i> <i>Matures: 10/01/2037</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	None	None (or less than \$201)
6.6.92	<b>Minnesota Agr-Econ Dev Board Revenue Health Care Bond</b> <i>Rate/Coupon: 5.25%</i> <i>Matures: 01/01/2047</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
6.6.93	<b>Nebraska Invt Hsg Fin Auth Revenue Bond</b> <i>Rate/Coupon: 4.5%</i> <i>Matures: 09/01/2044</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
6.6.94	<b>Nevada Sys Higher Ed Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/01/2037</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	None	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
6.6.95	<b>Northwest Allen Ind Sch Dist Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 07/15/2038</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	None	None (or less than \$201)
6.6.96	<b>Omaha Nebraska Arpt Auth Revenue Bond</b> <i>Rate/Coupon: 5.25%</i> <i>Matures: 12/15/2039</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	None	None (or less than \$201)
6.6.97	<b>Omaha Nebraska Tax Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 04/15/2038</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	None	None (or less than \$201)
6.6.98	<b>Omaha Nebraska Tax Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 04/15/2040</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
6.6.99	<b>Princeton Texas Indpt Sch Dist Tax Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 02/15/2039</i>	Government Securities Municipal Security	Joint	\$100,001 - \$250,000	Interest	None (or less than \$201)
6.6.100	<b>Public Power Agency of Nebraska Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 01/01/2037</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	None	None (or less than \$201)
6.6.101	<b>Pulaski County Arkansas Hospital Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 03/01/2039</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
6.6.102	<b>Rhode Island St Health &amp; Ed Hospital Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 05/15/2039</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest	\$201 - \$1,000
6.6.103	<b>San Antonio Texas Elec &amp; Gas Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 02/01/2039</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	None	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
6.6.104	<b>Skagit County Washington Hosp Dist No 1 Revenue Bond</b> <i>Rate/Coupon: 5.5%</i> <i>Matures: 12/01/2043</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest	\$201 - \$1,000
6.6.105	<b>South Dakota State Health &amp; Ed Facs Auth Revenue Bond</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 07/01/2044</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest	\$201 - \$1,000
6.6.106	<b>St Clair County Alabama Sch Dist Revenue Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 02/01/2038</i>	Government Securities Municipal Security	Joint	\$100,001 - \$250,000	None	None (or less than \$201)
6.6.107	<b>Wisconsin State General Obligation Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 05/01/2042</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
6.7	<b>BITKRAFT Ventures Fund II, L.P.</b> <i>Description: Private Investment Fund (Denver, CO)</i>	Investment Fund Private Equity Fund	Joint	\$250,001 - \$500,000	None	None (or less than \$201)
6.8	<b>BITKRAFT Ventures Token Fund I, L.P.</b> <i>Description: Private Investment Fund (Denver, CO)</i>	Investment Fund Private Equity Fund	Joint	\$50,001 - \$100,000	None	None (or less than \$201)
6.9	<b>BITKRAFT Ventures Token Fund II, L.P.</b> <i>Description: Private Investment Fund (Denver, CO)</i>	Investment Fund Private Equity Fund	Joint	\$50,001 - \$100,000	None	None (or less than \$201)
6.10	<b>RPM Ventures IV, L.P.</b> <i>Description: Private Investment Fund (Ann Arbor, MI)</i>	Investment Fund Private Equity Fund	Joint	\$250,001 - \$500,000	None	None (or less than \$201)
6.11	<b>StepStone VC Secondaries Fund IV, L.P.</b> <i>Description: Private Investment Fund (New York, NY)</i>	Investment Fund Private Equity Fund	Joint	\$250,001 - \$500,000	Excepted Investment Fund	\$5,001 - \$15,000

	Asset	Asset Type	Owner	Value	Income Type	Income
6.12	<b>StepStone VC Secondaries Fund V, L.P.</b> <i>Description:</i> Private Investment Fund (New York, NY)	Investment Fund Private Equity Fund	Joint	\$250,001 - \$500,000	None	None (or less than \$201)
6.13	<b>Third Point Venture Fund I LP</b> <i>Description:</i> Private Investment Fund (New York, NY)	Investment Fund Private Equity Fund	Joint	\$100,001 - \$250,000	Excepted Investment Fund	\$1,001 - \$2,500
6.14	<b>Third Point Venture Fund II LP</b> <i>Description:</i> Private Investment Fund (New York, NY)	Investment Fund Private Equity Fund	Joint	\$50,001 - \$100,000	Excepted Investment Fund	None (or less than \$201)
6.15	<b>Hony Capital Fund III, LP</b> <i>Description:</i> Private Investment Fund (Beijing, China) <i>Filer comment:</i> Fund comprised of approx. 90% in cash; filer understands fund to be in wind-down since 2018.	Investment Fund Private Equity Fund	Joint	\$1,001 - \$15,000	Excepted Investment Fund	None (or less than \$201)
6.16	<b>UBS - Alt Brokerage</b>	Brokerage/Managed Account	Joint	--		
6.16.1	<b>Alphakeys Millennium FD III LLC Class B</b> <i>Description:</i> Hedge Fund (New York, NY)	Investment Fund Hedge Fund	Joint	\$500,001 - \$1,000,000	Excepted Investment Fund	\$50,001 - \$100,000
6.16.2	<b>UBS Bank</b> (New York, NY) <i>Type:</i> Brokerage Sweep Account	Bank Deposit	Joint	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
6.17	<b>Citi Alt Brokerage Account</b>	Brokerage/Managed Account	Joint	--		
6.17.1	<b>Mariner Atlantic Multi-Strategy Fund, L.P.</b> <i>Description:</i> Hedge Fund (New York, NY)	Investment Fund Hedge Fund	Joint	\$250,001 - \$500,000	Excepted Investment Fund	\$15,001 - \$50,000
6.18	<b>Whitehaven Credit Opportunities Fund, LP</b> <i>Description:</i> Hedge Fund (New York, NY)	Investment Fund Hedge Fund	Joint	\$250,001 - \$500,000	Excepted Investment Fund	\$15,001 - \$50,000

	Asset	Asset Type	Owner	Value	Income Type	Income
6.19	<b>CL Note Investment LLC</b> <i>Company:</i> CL Note Investment LLC (Wilmington, DE) <i>Description:</i> Holding company	Business Entity Limited Liability Company (LLC)	Joint	--		
6.19.1	<b>Eagle Football Holdings BIDCO Limited - Series A</b> <i>Company:</i> Eagle Football Holdings BIDCO Limited - Series A (London, UK) <i>Description:</i> Sports team ownership	Corporate Securities Non-Public Stock	Joint	\$1,000,001 - \$5,000,000	Dividends	\$100,001 - \$1,000,000
6.19.2	<b>Eagle Football Holdings BIDCO Limited - Series B1 Note</b> <i>Company:</i> Eagle Football Holdings BIDCO Limited (London, UK) <i>Description:</i> Sports team ownership	Corporate Securities Non-Public Stock	Joint	\$500,001 - \$1,000,000	Dividends	\$100,001 - \$1,000,000
6.19.3	<b>Citibank</b> (New York, NY) <i>Type:</i> Checking	Bank Deposit	Joint	\$1,001 - \$15,000	Interest	\$201 - \$1,000
6.20	<b>UBS Bank</b> (New York, NY) <i>Type:</i> Brokerage Sweep Account	Bank Deposit	Joint	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
6.21	<b>JP Morgan Brokerage - SCHW</b>	Brokerage/Managed Account	Joint	--		
6.21.1	<b>SCHW - The Charles Schwab Corp</b>	Corporate Securities Stock	Joint	\$5,000,001 - \$25,000,000	Dividends	\$100,001 - \$1,000,000
6.22	<b>Bank of America</b> (New York, NY) <i>Type:</i> Savings	Bank Deposit	Joint	\$15,001 - \$50,000	Interest	\$201 - \$1,000
6.23	<b>JPM Brokerage Account - 96NE Alts</b>	Brokerage/Managed Account	Joint	--		

	Asset	Asset Type	Owner	Value	Income Type	Income
6.23.1	<b>Aristeia Partners, L.P.</b> <i>Description: Private Investment Partnership (Greenwich, CT)</i>	Investment Fund Private Equity Fund	Joint	\$100,001 - \$250,000	Excepted Investment Fund	\$5,001 - \$15,000
6.23.2	<b>JP Morgan</b> (New York, NY) <i>Type: Brokerage Sweep Account</i>	Bank Deposit	Joint	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7	<b>First National Bank of Omaha</b> (Omaha, Nebraska) <i>Type: Checking</i>	Bank Deposit	Self	\$250,001 - \$500,000	Interest	None (or less than \$201)
8	<b>First National Bank of Omaha</b> (Omaha, NE) <i>Type: Checking</i>	Bank Deposit	Joint	\$500,001 - \$1,000,000	Interest	\$201 - \$1,000
9	<b>Frontier Bank</b> (Omaha, NE) <i>Type: Checking</i>	Bank Deposit	Self	\$100,001 - \$250,000	Interest	\$201 - \$1,000
10	<b>Pinnacle Bank</b> (Lincoln, NE) <i>Type: Checking</i>	Bank Deposit	Self	\$15,001 - \$50,000	Interest	\$201 - \$1,000
11	<b>Schwab 1</b>	Brokerage/Managed Account	Joint	--		
11.1	<b>NLY - Annaly Capital Management Inc Common Stock</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
11.2	<b>Charles Schwab</b> (New York, NY) <i>Type: Brokerage Sweep Account</i>	Bank Deposit	Joint	\$1,001 - \$15,000	Interest	None (or less than \$201)
12	<b>Schwab 2</b>	Brokerage/Managed Account	Self	--		
12.1	<b>Douglas County Neb Sch Dist General Obligation Bond</b> <i>Rate/Coupon: 5.0%</i> <i>Matures: 12/15/2031</i>	Government Securities Municipal Security	Self	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
12.2	<b>Douglas County Neb Sch Dist General Obligation Bond</b> <i>Rate/Coupon: 4.0%</i> <i>Matures: 12/15/2032</i>	Government Securities Municipal Security	Self	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000

	Asset	Asset Type	Owner	Value	Income Type	Income
12.3	<b>Puerto Rico Elec Pwr Revenue Bond</b> <i>Rate/Coupon: 5.25%</i> <i>Matures: 07/01/2022</i>	Government Securities Municipal Security	Self	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000
12.4	<b>Charles Schwab</b> (New York, NY) <i>Type: Brokerage Sweep Account</i>	Bank Deposit	Self	\$50,001 - \$100,000	Interest	\$201 - \$1,000
13	<b>Schwab 3</b>	Brokerage/Managed Account	Self	--		
13.1	<b>SCHW - Charles Schwab Corporation (The) Common Stock</b>	Corporate Securities Stock	Self	\$1,000,001 - \$5,000,000	Dividends	\$15,001 - \$50,000
13.2	<b>Charles Schwab</b> (New York, NY) <i>Type: Brokerage Sweep Account</i>	Bank Deposit	Self	\$5,000,001 - \$25,000,000	Interest	\$100,001 - \$1,000,000
14	<b>Schwab IRA</b>	Retirement Plans IRA	Self	--		
14.1	<b>ILCG - iShares Morningstar Large-Cap Growth ETF</b>	Mutual Funds Exchange Traded Fund/Note	Self	\$500,001 - \$1,000,000	None	None (or less than \$201)
14.2	<b>IVV - iShares Core S&amp;P 500 ETF</b>	Mutual Funds Exchange Traded Fund/Note	Self	\$500,001 - \$1,000,000	None	None (or less than \$201)
14.3	<b>EEM - iShares MSCI Emerging Index Fund</b>	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	None	None (or less than \$201)
14.4	<b>IEF - iShares 7-10 Year Treasury Bond ETF</b>	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	None	None (or less than \$201)
14.5	<b>SHY - iShares 1-3 Year Treasury Bond ETF</b>	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	None	None (or less than \$201)
14.6	<b>EFA - iShares MSCI EAFE ETF</b>	Mutual Funds Exchange Traded Fund/Note	Self	\$100,001 - \$250,000	None	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
14.7	<b>IWD - iShares Russell 1000 Value ETF</b>	Mutual Funds Exchange Traded Fund/Note	Self	\$250,001 - \$500,000	None	None (or less than \$201)
14.8	<b>IWM - iShares Russell 2000 ETF</b>	Mutual Funds Exchange Traded Fund/Note	Self	\$100,001 - \$250,000	None	None (or less than \$201)
14.9	<b>SCHW - Charles Schwab Corporation (The) Common Stock</b>	Corporate Securities Stock	Self	\$5,000,001 - \$25,000,000	None	None (or less than \$201)
14.10	<b>CWB - SPDR Bloomberg Convertible Securities ETF</b>	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	None	None (or less than \$201)
14.11	<b>VOE - Vanguard Mid-Cap Value ETF</b>	Mutual Funds Exchange Traded Fund/Note	Self	\$100,001 - \$250,000	None	None (or less than \$201)
14.12	<b>Charles Schwab</b> (New York, NY) <i>Type: Brokerage Sweep Account</i>	Bank Deposit	Self	\$500,001 - \$1,000,000	None	None (or less than \$201)
15	<b>Schwab IRA (SS)</b>	Retirement Plans IRA	Spouse	--		
15.1	<b>VWELX - Vanguard Wellington Income Fund</b>	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	None	None (or less than \$201)
16	<b>Drakon LLC</b> <i>Company: Drakon LLC (Omaha, NE) Description: Holding company</i>	Business Entity Limited Liability Company (LLC)	Self	--		
16.1	<b>Bye UAS Inc. DBA Silent Falcon</b> <i>Company: Bye UAS Inc. DBA Silent Falcon (Albuquerque, NM) Description: Aerospace manufacturing</i>	Corporate Securities Non-Public Stock	Self	\$50,001 - \$100,000	None	None (or less than \$201)
16.2	<b>Account Receivable from DW1524 Inc.</b> <i>Company: DW1524 Inc. (Chicago, IL)</i>	Accounts Receivable From a Business	Self	\$50,001 - \$100,000	None	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
16.3	<b>Promissory Note from Palacio Enterprises Inc.</b> <i>Company:</i> Palacio Enterprises Inc. (Omaha, NE)	Accounts Receivable From a Business	Self	\$15,001 - \$50,000	None	None (or less than \$201)
16.4	<b>Skyvu Entertainment</b> <i>Company:</i> Skyvu Entertainment (Omaha, NE) <i>Description:</i> Video game development	Corporate Securities Non-Public Stock	Self	\$50,001 - \$100,000	None	None (or less than \$201)
16.5	<b>Office suite 1</b> <i>Description:</i> Office suite 1 (Omaha, NE)	Real Estate Commercial	Self	\$250,001 - \$500,000	None	None (or less than \$201)
16.6	<b>Office suite 2</b> <i>Description:</i> Office suite 2 (Omaha, NE)	Real Estate Commercial	Self	\$250,001 - \$500,000	None	None (or less than \$201)
16.7	<b>Office suite 3</b> <i>Description:</i> Office suite 3 (Omaha, NE)	Real Estate Commercial	Self	\$250,001 - \$500,000	None	None (or less than \$201)
16.8	<b>SGI Mongolia Mining Services L.P.</b> <i>Company:</i> SGI Mongolia Mining Services L.P. (Road Town, Tortola, British Virgin Islands) <i>Description:</i> Limited Partnership	Business Entity Limited Partnership (LP)	Self	--		
16.8.1	<b>Account receivable from Geosan LLC</b> <i>Company:</i> Geosan LLC (Ulaanbaatar, Mongolia) <i>Filer comment:</i> Receivable from sale of Geosan LLC	Accounts Receivable From a Business	Self	\$50,001 - \$100,000	None	None (or less than \$201)
17	<b>Brown Advisory Investors - Silver Lake Partners III</b> <i>Description:</i> Private Investment Partnership (Baltimore, MD)	Investment Fund Private Equity Fund	Joint	\$250,001 - \$500,000	Excepted Investment Fund	\$15,001 - \$50,000

	Asset	Asset Type	Owner	Value	Income Type	Income
18	<b>KKR Independence Energy Private Investors LP</b> <i>Description:</i> Private Investment Partnership (New York, NY) <i>Filer comment:</i> f/k/a KKR EIGF I Private Investors Feeder LP; private equity fund investing in energy companies	Investment Fund Private Equity Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund	\$15,001 - \$50,000
19	<b>Schulze Global Ethiopia Growth &amp; Transformation Fund I, LP</b> <i>Description:</i> Private Investment Partnership (George Town, Cayman Islands)	Investment Fund Private Equity Fund	Self	\$250,001 - \$500,000	None	None (or less than \$201)
20	<b>Techstars Accelerator 2021, L.P.</b> <i>Description:</i> Private Investment Partnership (New York, NY)	Investment Fund Private Equity Fund	Self	\$500,001 - \$1,000,000	None	None (or less than \$201)
21	<b>AlphaKeys Euro Real Estate Fund</b> <i>Description:</i> Private Investment Partnership (New York, NY)	Investment Fund Private Equity Fund	Self	\$15,001 - \$50,000	None	None (or less than \$201)
22	<b>Mercatus, LLC</b> <i>Company:</i> Mercatus, LLC (Omaha, NE) <i>Description:</i> Holding company	Business Entity Limited Liability Company (LLC)	Spouse	--		
22.1	<b>Land</b> <i>Description:</i> Land (Sarpy County, NE) (Sarpy County, NE)	Real Estate Unimproved Land	Spouse	\$1,000,001 - \$5,000,000	Rent/Royalties	\$15,001 - \$50,000
22.2	<b>First National Bank of Omaha</b> (Omaha, NE) <i>Type:</i> Checking	Bank Deposit	Spouse	\$15,001 - \$50,000	None	None (or less than \$201)
23	<b>Gryphus LLC</b> <i>Company:</i> Gryphus LLC (Omaha, NE) <i>Description:</i> Holding company	Business Entity Limited Liability Company (LLC)	Self	--		

	Asset	Asset Type	Owner	Value	Income Type	Income
23.1	<b>First National Bank of Omaha</b> (Omaha, NE) <i>Type: Checking</i>	Bank Deposit	Self	\$50,001 - \$100,000	None	None (or less than \$201)
24	<b>Ortelius, LLC</b> <i>Company: Ortelius, LLC</i> (Omaha, NE) <i>Description: Holding company for personal residence</i>	Business Entity Limited Liability Company (LLC)	Joint	--		
24.1	<b>Personal Residence</b> <i>Description: Personal Residence (Washington, DC)</i>	Real Estate Residential	Joint	\$1,000,001 - \$5,000,000	None	None (or less than \$201)
24.2	<b>First National Bank of Omaha</b> (Omaha, NE) <i>Type: Checking</i>	Bank Deposit	Joint	\$1,001 - \$15,000	None	None (or less than \$201)
25	<b>Club Membership - Zachary Social Club</b> <i>Description: Social Club (Chicago, IL)</i>	Other	Self	\$500,001 - \$1,000,000	None	None (or less than \$201)
26	<b>Promissory note</b> <i>Debtor: Mark Kennedy</i>	Accounts Receivable From an Individual	Self	\$15,001 - \$50,000	None	None (or less than \$201)
27	<b>Nebraska Public Employees Retirement System</b>	Retirement Plans Defined Benefit Pension Plan	Self	\$100,001 - \$250,000	None	None (or less than \$201)
28	<b>Idrisi, LLC</b> <i>Company: Idrisi, LLC (Omaha, NE)</i> <i>Description: Holding company</i>	Business Entity Limited Liability Company (LLC)	Spouse	--		
28.1	<b>Omaha Garage</b> <i>Description: Omaha Garage (Omaha, NE)</i> <i>Filer comment: CY23 purchase transaction noted on amendment letter attached to this report</i>	Real Estate Commercial	Spouse	\$250,001 - \$500,000	None	None (or less than \$201)
28.2	<b>First National Bank of Omaha</b> (Omaha, NE) <i>Type: Checking</i>	Bank Deposit	Spouse	\$1,001 - \$15,000	None	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
29	<b>Techstars Accelerator 2024, L.P.</b> <i>Description:</i> Private Investment Partnership (New York, NY)	Investment Fund Private Equity Fund	Self	\$100,001 - \$250,000	None	None (or less than \$201)
30	<b>Andor, LLC</b> <i>Company:</i> Andor, LLC (Omaha, Nebraska) <i>Description:</i> Holding company	Business Entity Limited Liability Company (LLC)	Self	--		
30.1	<b>Personal Residence</b> <i>Description:</i> Personal Residence (Omaha, Nebraska)	Real Estate Residential	Self	\$1,000,001 - \$5,000,000	None	None (or less than \$201)
31	<b>Vanderaa, LLC</b> <i>Company:</i> Vanderaa, LLC (Omaha, Nebraska) <i>Description:</i> Holding company	Business Entity Limited Liability Company (LLC)	Spouse	--		
31.1	<b>Personal Residence</b> <i>Description:</i> Personal Residence (Washington, DC)	Real Estate Residential	Spouse	\$1,000,001 - \$5,000,000	None	None (or less than \$201)
31.2	<b>First National Bank of Omaha</b> (Omaha, Nebraska) <i>Type:</i> Checking	Bank Deposit	Spouse	\$15,001 - \$50,000	None	None (or less than \$201)
32	<b>Cellarius, LLC</b> <i>Company:</i> Cellarius, LLC (Omaha, Nebraska) <i>Description:</i> Holding company	Business Entity Limited Liability Company (LLC)	Self	--		
32.1	<b>Nebraska land</b> <i>Description:</i> Nebraska land (Dawes County, Nebraska)	Real Estate Unimproved Land	Self	\$5,000,001 - \$25,000,000	None	None (or less than \$201)

#### Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you.

### Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset where the transaction exceeded \$1,000 and was not reported on Part 4a? **Yes**

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comments
1	Joint	<u>DFGBX</u>	Dfa Five-Year Global Fixed Income Portfolio	Purchase	03/26/2024	\$250,001 - \$500,000	n/a
2	Joint	<u>DFGBX</u>	Dfa Five-Year Global Fixed Income Portfolio	Purchase	06/20/2024	\$50,001 - \$100,000	n/a
3	Joint	<u>DFGBX</u>	Dfa Five-Year Global Fixed Income Portfolio	Purchase	07/03/2024	\$15,001 - \$50,000	n/a
4	Joint	<u>DFEM</u>	Dimensional Emerging Markets Core Equity 2 ETF	Purchase	03/26/2024	\$50,001 - \$100,000	n/a
5	Joint	<u>DUHP</u>	Dimensional US High Profitability ETF	Purchase	03/26/2024	\$50,001 - \$100,000	n/a
6	Joint	<u>DFCEX</u>	Dfa Emerging Markets Core Equity Portfolio	Sale (Partial)	03/26/2024	\$15,001 - \$50,000	n/a
7	Joint	<u>ISTB</u>	iShares Core 1-5 Year USD Bond ETF	Sale (Full)	03/26/2024	\$500,001 - \$1,000,000	n/a
8	Joint	<u>MBB</u>	iShares MBS ETF	Purchase	03/26/2024	\$250,001 - \$500,000	n/a
9	Joint	<u>VNQ</u>	Vanguard Real Estate ETF	Sale (Partial)	03/26/2024	\$250,001 - \$500,000	n/a
10	Joint	<u>TIP</u>	iShares TIPS Bond ETF	Sale (Partial)	03/26/2024	\$500,001 - \$1,000,000	n/a
11	Joint	<u>IGSB</u>	iShares 1-5 Year Investment Grade Corporate Bond E	Purchase	03/26/2024	\$250,001 - \$500,000	n/a
12	Joint	<u>IGSB</u>	iShares 1-5 Year Investment Grade Corporate Bond E	Purchase	06/20/2024	\$50,001 - \$100,000	n/a
13	Joint	<u>IGSB</u>	iShares 1-5 Year Investment Grade Corporate Bond E	Purchase	07/03/2024	\$15,001 - \$50,000	n/a
14	Joint	<u>IGSB</u>	iShares 1-5 Year Investment Grade Corporate Bond E	Purchase	08/08/2024	\$15,001 - \$50,000	n/a
15	Joint	<u>VTEB</u>	Vanguard Tax-Exempt Bond ETF	Purchase	03/12/2024	\$50,001 - \$100,000	n/a

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comments
16	Joint	<u>VTEB</u>	Vanguard Tax-Exempt Bond ETF	Purchase	12/17/2024	\$250,001 - \$500,000	n/a
17	Joint	<u>VTEB</u>	Vanguard Tax-Exempt Bond ETF	Sale (Partial)	03/26/2024	\$250,001 - \$500,000	n/a
18	Joint	<u>BND</u>	Vanguard Total Bond Market ETF	Purchase	03/26/2024	\$500,001 - \$1,000,000	n/a
19	Joint	<u>BND</u>	Vanguard Total Bond Market ETF	Purchase	07/03/2024	\$15,001 - \$50,000	n/a
20	Self	<u>IGLB</u>	iShares 10 Year Investment Grade Corporate Bond E	Purchase	02/02/2024	\$1,000,001 - \$5,000,000	n/a
21	Self	<u>VEA</u>	Vanguard FTSE Developed Markets ETF	Purchase	02/02/2024	\$500,001 - \$1,000,000	n/a
22	Self	<u>VWO</u>	Vanguard FTSE Emerging Markets ETF	Purchase	02/02/2024	\$500,001 - \$1,000,000	n/a
23	Self	<u>VO</u>	Vanguard Mid-Cap ETF	Purchase	02/02/2024	\$500,001 - \$1,000,000	n/a
24	Self	<u>VOO</u>	Vanguard S&P 500 ETF	Purchase	02/02/2024	\$1,000,001 - \$5,000,000	n/a
25	Self	<u>VB</u>	Vanguard Small-Cap ETF	Purchase	02/02/2024	\$500,001 - \$1,000,000	n/a
26	Self	--	Techstars Accelerator 2024, L.P.	Purchase	08/15/2024	\$100,001 - \$250,000	n/a
27	Self	--	Undeveloped land, Dawes County, Nebraska	Purchase	12/16/2024	\$5,000,001 - \$25,000,000	n/a
28	Spouse	--	Personal Residence, Washington, DC	Purchase	06/18/2024	\$1,000,001 - \$5,000,000	n/a

## Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

## Part 6. Travel

Did you, your spouse, or dependent child receive any **reportable travel**? **No**

## Part 7. Liabilities

Did you, your spouse, or dependent child have a reportable, non-revolving charge account liability worth more than \$10,000 at any time or a revolving charge account whose value exceeded \$10,000 as of the last day of the reporting period? **Yes**

#	Incurred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
1	2007	Joint	Mortgage	0	6.125% (30 years)	\$50,001 - \$100,000	First National Bank of Omaha Omaha, NE	n/a
2	2003	Joint	Mortgage	0	4.25% (30 years)	\$50,001 - \$100,000	Nationstar Mortgage LLC d/b/a Mr. Cooper Cleveland, OH	n/a
3	2021	Self	Other (Capital Commitment)	-	0% (on demand)	\$10,001 - \$15,000	Techstars Accelerator 2021, L.P. New York, NY	n/a
4	2023	Self	Other (Capital Commitment)	-	0% (on demand)	\$250,001 - \$500,000	Techstars Accelerator 2024, L.P. New York, NY	n/a
5	2024	Self	Revolving Charge	-	29.99% (Revolving)	\$10,001 - \$15,000	American Express New York, NY	Paid off in full monthly; balance is as of 12/31/24
6	2024	Spouse	Revolving Charge	-	29.99% (Revolving)	\$15,001 - \$50,000	American Express New York, NY	Paid off in full monthly; balance is as of 12/31/24

## Part 8. Positions

Did you hold any reportable outside positions during the reporting period? **Yes**

#	Position Dates	Position Held	Entity	Entity Type	Comments
1	Dec 2009 to present	Director	Chicago Cubs Charities Chicago, IL	Nonprofit Organization	n/a
2	Jul 2008 to present	Other (Director Emeritus)	Bellevue University Bellevue, NE	Educational Organization	n/a
3	Jan 2013 to present	Director	Lumen Christi Institute Chicago, IL	Nonprofit Organization	n/a
4	Jan 2012 to present	Director	Mid-America Council of Boy Scouts of America Omaha, NE	Nonprofit Organization	n/a
5	Jan 2023 to present	Director	Nebraska Game and Parks Foundation Omaha, NE	Nonprofit Organization	n/a
6	Jan 2009 to present	Other (Non-voting board member)	Opportunity Education Foundation Omaha, NE	Nonprofit Organization	n/a
7	Dec 2001 to present	Trustee	2001 Grandchildren's Trust FBO Eleanor Elaine Ricketts Omaha, NE	Trust	n/a
8	Dec 2001 to present	Trustee	2001 Grandchildren's Trust FBO Margot Elizabeth Ricketts Omaha, NE	Trust	n/a
9	Dec 2001 to present	Trustee	2001 Grandchildren's Trust FBO Roscoe Rollins Ricketts Omaha, NE	Trust	n/a
10	Dec 2012 to present	Trustee	Exempt Trust under the Susanne E. Shore Special Trust (2012) Omaha, NE	Trust	n/a
11	Dec 2012 to present	Other (Adviser)	Exempt Trust under the J. Peter Ricketts Special Trust (2012) Omaha, NE	Trust	n/a
12	Apr 2022 to present	Other (Adviser)	J. Peter Ricketts PTC Trust Jackson, WY	Trust	n/a
13	Jan 2023 to present	Other (Manager)	Ptolemy, LLC Omaha, NE	Company	n/a
14	Jan 2023 to present	Other (Manager)	Ortelius, LLC Omaha, NE	Company	n/a

#	Position Dates	Position Held	Entity	Entity Type	Comments
15	Apr 2015 to present	Other (Member)	Progress, Inc. - Strategic Command Consultation Committee Omaha, NE	Nonprofit Organization	n/a
16	Jan 2014 to present	Director	Offutt Advisory Council Offutt AFB, NE	Nonprofit Organization	n/a
17	Oct 2024 to present	Other (Manager)	Cellarius, LLC Omaha, Nebraska	Company	n/a
18	Mar 2015 to present	Other (Manager)	Andor, LLC Omaha, Nebraska	Company	n/a

## Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **Yes**

#	Date	Parties Involved	Type	Status and Terms	Comments
1	Jan 2015	State of Nebraska Lincoln, NE	Continuing participation in an employee benefit plan	Continuing participation in state employee defined benefit plan, as disclosed in part 3	n/a

## Part 10. Compensation

*Only required if you are a candidate or this is your first report:* Did any person or entity pay more than \$5,000 to you or for services provided by you? **This is not my first report.**

## Attachments & Comments

### Attachments

Document Title	Date/Time Added
<u>Ricketts Senate FD Amdt Letter - 5-25.pdf</u>	05/15/2025 @ 8:02 AM

*No comments added.*