

United States Senate

Financial Disclosures

Annual Report for Calendar 2013 (Amendment 5)

The Honorable Bernard Sanders (Sanders, Bernard)

Filed 08/31/2016 @ 7:53 PM

The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

I omitted assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200, or donate any amount to a charity on your or your spouse's behalf, for an article, speech, or appearance? **No**

Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid	Comments
1	Spouse	Other (Sabbatical)	Burlington College Burlington, VT	> \$1,000	n/a
2	Self	Pension	City of Burlington Vermont Burlington, VT	\$4,894.20	n/a
3	Spouse	Self-Employment Income	Self-Employed, Antique Dealer Burlington, VT	> \$1,000	n/a
4	Spouse	Board Compensation	State of Vermont Public Service Department Montpelier, VT	> \$1,000	n/a

Part 3. Assets

Did you, your spouse, or dependent child own any asset that had a value of more than \$1,000 or generated income of more than \$200? **Yes**

	Asset	Asset Type	Owner	Value	Income Type	Income
1	Spouse Retirement Plan	Retirement Plans IRA	Spouse	--		
1.1	CREF Stock Account	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund	None (or less than \$201)
1.2	CREF Growth Account	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund	None (or less than \$201)
1.3	CREF Equity Index Account	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund	None (or less than \$201)
1.4	CREF Global Equities Account	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund	None (or less than \$201)
1.5	TIAA Access Mid-Cap Growth (T4)	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund	None (or less than \$201)
1.6	TIAA Real Estate Account	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund	None (or less than \$201)
1.7	CREF Bond Market Account	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund	None (or less than \$201)
1.8	CREF Inflation-Linked Bond Account	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund	None (or less than \$201)
1.9	CREF Social Choice Account	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund	None (or less than \$201)
1.10	TIAA Traditional Annuity	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund	None (or less than \$201)
2	Tiaa Personal Annuity Fixed	Retirement Plans 401(k), 403(b), or other Defined Contribution Plan.	Spouse	\$1,001 - \$15,000	Excepted Investment Fund	None (or less than \$201)
3	IBM Corporate	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000

	Asset	Asset Type	Owner	Value	Income Type	Income
4	Valic Core Equity	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund	None (or less than \$201)
5	VALIC DIV VALUE	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund	None (or less than \$201)
6	VALIC SOCIALLY RESP	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund	None (or less than \$201)
7	VALIC STOCK INDEX	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund	None (or less than \$201)
8	VALIC MID CAP INDEX	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund	None (or less than \$201)
9	VALIC SMALL CAP	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund	None (or less than \$201)
10	VALIC SMALL CAP INDEX	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund	None (or less than \$201)
11	VALIC SOCIAL AWARENESS	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund	None (or less than \$201)
12	VALIC INT'L EQUITIES	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund	None (or less than \$201)
13	VALIC SCIENCE & TECH	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund	None (or less than \$201)
14	VALIC AGGR GROWTH LI	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund	None (or less than \$201)
15	VALIC ASSET ALLOC	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
16	VALIC MOD GROWTH LI	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund	None (or less than \$201)
17	VNGRD LI FGRO	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund	None (or less than \$201)
18	VNGRD LI FMOD	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund	None (or less than \$201)
19	VALIC GOVT SECURITIES	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund	None (or less than \$201)
20	VALIC INTL GOVT BOND	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund	None (or less than \$201)
21	VALIC STRATEGIC BOND	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund	None (or less than \$201)
22	VNGRD LT TRSRY	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund	None (or less than \$201)
23	VNGRD LT INV GRADE	Mutual Funds Mutual Fund	Spouse	\$1,001 - \$15,000	Excepted Investment Fund	None (or less than \$201)
24	VALIC MONEY MARKET II	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	Excepted Investment Fund	None (or less than \$201)
25	Peoples United Bank IRA	Retirement Plans IRA	Spouse	--		
25.1	Peoples United Bank (Burlington, VT) <i>Type: IRA Cash Accounts</i>	Bank Deposit	Spouse	\$15,001 - \$50,000	None	None (or less than \$201)
26	Pension <i>Filer comment: Pension income reported in Part 2.</i>	Retirement Plans Defined Benefit Pension Plan	Self	Unascertainable	None	None (or less than \$201)

Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you.

Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset where the transaction exceeded \$1,000 and was not reported on Part 4a? **No**

Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

Part 6. Travel

Did you, your spouse, or dependent child receive any **reportable travel**? **No**

Part 7. Liabilities

Did you, your spouse, or dependent child have a reportable, non-revolving charge account liability worth more than \$10,000 at any time or a revolving charge account whose value exceeded \$10,000 as of the last day of the reporting period? **Yes**

#	Incurred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
1	1991	Self	Other (VISA CARD)	-	8.5% (Revolving)	\$10,001 - \$15,000	Congressional Federal Credit Union Washington, DC	n/a
2	2006	Self	Other (VISA CARD)	-	10.25% (Revolving)	\$15,001 - \$50,000	U.S. Senate Federal Credit Union Washington, DC	n/a
3	2013	Self	Mortgage	.40	3.25% (30)	\$250,001 - \$500,000	Quicken Loans Detroit, MI	n/a
4	2013	Self	Mortgage	0	3.25% (30)	\$250,001 - \$500,000	Quicken Loans Detroit, MI	n/a
5	2000	Self	Mortgage	0	3.00% (30)	\$15,001 - \$50,000	Credit Union Mortgage Association Fairfax, VA	n/a

Part 8. Positions

Did you hold any reportable outside positions during the reporting period? **No**

Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **Yes**

#	Date	Parties Involved	Type	Status and Terms	Comments
1	Feb 2011	Avalon Publishing Group Berkeley, CA	Royalty Agreement	To have all proceeds from the sale of "The Speech" go directly to charity. (Previous advice was to list this as honoraria, but I discussed with the ethics staff in August, 2016 and decided it should be taken out of Part 1 and listed here. I will amend all prior years as well to be consistent.	n/a

Part 10. Compensation

Only required if you are a candidate or this is your first report: Did any person or entity pay more than \$5,000 to you or for services provided by you? **No**

Attachments & Comments

Attachments

Document Title	Date/Time Added
Sanders, Bernard.pdf	08/31/2016 @ 2:21 PM

No comments added.