

United States Senate

# Financial Disclosures

## Annual Report for Calendar 2024

The Honorable Jacklyn S Rosen (Rosen, Jacky)

📁 Filed 08/08/2025 @ 9:23 AM

---

The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

---

I *omitted* assets because they meet the three-part test for exemption.

### Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200, or donate any amount to a charity on your or your spouse's behalf, for an article, speech, or appearance? **No**

### Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **No**

### Part 3. Assets

Did you, your spouse, or dependent child own any asset that had a value of more than \$1,000 or generated income of more than \$200? **Yes**

	Asset	Asset Type	Owner	Value	Income Type	Income
1	<b>IRA Contributory</b>	Retirement Plans IRA	Spouse	--		
1.1	<b><u>XLE</u> - Energy Select Sector SPDR ETF</b>	Mutual Funds Exchange Traded Fund/Note	Spouse	\$50,001 - \$100,000	Excepted Investment Fund	None (or less than \$201)
1.2	<b><u>EVRG</u> - Eversource Energy</b>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	None	None (or less than \$201)
1.3	<b><u>FCNTX</u> - Fidelity Contrafund</b>	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Excepted Investment Fund	None (or less than \$201)
1.4	<b><u>FMIJX</u> - FMI International</b>	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Excepted Investment Fund	None (or less than \$201)
1.5	<b><u>GE</u> - General Electric Company</b>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	None	None (or less than \$201)
1.6	<b><u>HACAX</u> - Harbor Capital Appreciation Instl</b>	Mutual Funds Mutual Fund	Spouse	\$250,001 - \$500,000	Excepted Investment Fund	None (or less than \$201)
1.7	<b><u>ACSTX</u> - Invesco Comstock A</b>	Mutual Funds Mutual Fund	Spouse	\$250,001 - \$500,000	Excepted Investment Fund	None (or less than \$201)
1.8	<b><u>KXI</u> - iShares Global Consumer Staples ETF</b>	Mutual Funds Exchange Traded Fund/Note	Spouse	\$50,001 - \$100,000	Excepted Investment Fund	None (or less than \$201)
1.9	<b><u>IWD</u> - iShares Russell 1000 Value ETF</b>	Mutual Funds Exchange Traded Fund/Note	Spouse	\$100,001 - \$250,000	Excepted Investment Fund	None (or less than \$201)
1.10	<b><u>IAT</u> - iShares US Regional Banks ETF</b>	Mutual Funds Exchange Traded Fund/Note	Spouse	\$50,001 - \$100,000	Excepted Investment Fund	None (or less than \$201)
1.11	<b><u>JACTX</u> - Janus Henderson Forty T</b>	Mutual Funds Mutual Fund	Spouse	\$250,001 - \$500,000	Excepted Investment Fund	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
1.12	<b>Schwab Bank</b> (San Francisco, CA) Type: Money Market Account	Bank Deposit	Spouse	\$1,001 - \$15,000	None	None (or less than \$201)
1.13	<b>QQQ - Invesco QQQ Trust</b>	Mutual Funds Exchange Traded Fund/Note	Spouse	\$100,001 - \$250,000	Excepted Investment Fund	None (or less than \$201)
1.14	<b>TJX - The TJX Companies, Inc.</b>	Corporate Securities Stock	Spouse	\$50,001 - \$100,000	None	None (or less than \$201)
1.15	<b>GDV - VanEck Vectors Gold Miners ETF</b>	Mutual Funds Exchange Traded Fund/Note	Spouse	\$15,001 - \$50,000	Excepted Investment Fund	None (or less than \$201)
1.16	<b>VGSTX - Vanguard STAR Inv</b>	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Excepted Investment Fund	None (or less than \$201)
1.17	<b>VWINX - Vanguard Wellesley Income Inv</b>	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Excepted Investment Fund	None (or less than \$201)
1.18	<b>SNVXX - Schwab Government Money Market</b> (San Francisco, CA) Type: Money Market Account	Bank Deposit	Spouse	\$15,001 - \$50,000	None	None (or less than \$201)
1.19	<b>AVGO - Broadcom Inc. - Common Stock</b>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	None	None (or less than \$201)
1.20	<b>DELL - Dell Technologies Inc</b>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	None	None (or less than \$201)
1.21	<b>EVTMX - Eaton Vance Dividend Builder Fd Cl A</b>	Mutual Funds Mutual Fund	Spouse	\$50,001 - \$100,000	Excepted Investment Fund	None (or less than \$201)
1.22	<b>FAGAX - Fidelity Advisor Series II</b>	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Excepted Investment Fund	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
1.23	<b>VAFAX - Invesco American Franchise Fund Class A</b>	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Excepted Investment Fund	None (or less than \$201)
1.24	<b>MSIGX - Invesco Main Street Fund Class A</b>	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Excepted Investment Fund	None (or less than \$201)
1.25	<b>RSP - Invesco S&amp;P 500 Equal Weight ETF</b>	Mutual Funds Exchange Traded Fund/Note	Spouse	\$1,001 - \$15,000	Excepted Investment Fund	None (or less than \$201)
1.26	<b>US Treasury Bills</b> <i>Rate/Coupon: None</i> <i>Matures: None</i> <i>Filer comment: Fully matured in 2024</i>	Government Securities US Treasury/Agency Security	Spouse	None (or less than \$1,001)	None	None (or less than \$201)
1.27	<b>GEV - GE Vernova Inc. Common Stock</b>	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	None	None (or less than \$201)
2	<b>IRA Rollover #1</b>	Retirement Plans IRA	Self	--		
2.1	<b>FHYTX - Federated Hermes Opportunistic High Yield Bond F</b>	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund	None (or less than \$201)
2.2	<b>GE - General Electric Company</b>	Corporate Securities Stock	Self	\$15,001 - \$50,000	None	None (or less than \$201)
2.3	<b>GEHC - GE HealthCare Technologies Inc. - Common Stock</b>	Corporate Securities Stock	Self	\$1,001 - \$15,000	None	None (or less than \$201)
2.4	<b>SNSXX - Schwab US Treasury Money Market</b> (San Francisco, CA) <i>Type: Money Market Account</i>	Bank Deposit	Self	\$1,001 - \$15,000	None	None (or less than \$201)
2.5	<b>GEV - GE Vernova Inc. Common Stock</b>	Corporate Securities Stock	Self	\$1,001 - \$15,000	None	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
3	<b>IRA Rollover #2</b>	Retirement Plans IRA	Self	--		
3.1	<b><u>FEQIX</u> - Fidelity Equity-Income</b>	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Excepted Investment Fund	None (or less than \$201)
3.2	<b><u>FMAGX</u> - Fidelity Magellan</b>	Mutual Funds Mutual Fund	Self	\$100,001 - \$250,000	Excepted Investment Fund	None (or less than \$201)
3.3	<b><u>FPURX</u> - Fidelity Puritan</b>	Mutual Funds Mutual Fund	Self	\$100,001 - \$250,000	Excepted Investment Fund	None (or less than \$201)
4	<b>Self Employment IRA (SEP)</b>	Retirement Plans IRA	Self	--		
4.1	<b><u>MSIGX</u> - Oppenheimer Main Street A</b>	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Excepted Investment Fund	None (or less than \$201)
4.2	<b>Wells Fargo (Portland, OR)</b> Type: IRA Cash Accounts	Bank Deposit	Self	\$1,001 - \$15,000	None	None (or less than \$201)
5	<b>Larry and Jacklyn Rosen Family Trust</b>	Trust General Trust	Joint	--		
5.1	<b>Brokerage #1</b>	Brokerage/Managed Account	Joint	--		
5.1.1	<b><u>BIGRX</u> - American Century Income and Growth Inv</b>	Mutual Funds Mutual Fund	Joint	\$100,001 - \$250,000	Excepted Investment Fund	\$2,501 - \$5,000
5.1.2	<b><u>TWCUX</u> - American Century Ultra Inv</b>	Mutual Funds Mutual Fund	Joint	\$500,001 - \$1,000,000	Excepted Investment Fund	\$15,001 - \$50,000
5.2	<b>Brokerage #2</b>	Brokerage/Managed Account	Joint	--		
5.2.1	<b><u>VSGDX</u> - Vanguard Short-Term Federal Adm</b>	Mutual Funds Mutual Fund	Joint	\$50,001 - \$100,000	Excepted Investment Fund	\$2,501 - \$5,000

	Asset	Asset Type	Owner	Value	Income Type	Income
5.2.2	<b>VWIAX - Vanguard Wellesley Income Admiral</b>	Mutual Funds Mutual Fund	Joint	\$1,000,001 - \$5,000,000	Excepted Investment Fund	\$15,001 - \$50,000
5.3	<b>Brokerage #3</b>	Brokerage/Managed Account	Joint	--		
5.3.1	<b>T - AT&amp;T Inc.</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
5.3.2	<b>BRK-B - Berkshire Hathaway Inc.</b>	Corporate Securities Stock	Joint	\$100,001 - \$250,000	None	None (or less than \$201)
5.3.3	<b>FHYTX - Federated Hermes Opportunistic High Yield Bond F</b>	Mutual Funds Mutual Fund	Joint	\$15,001 - \$50,000	Excepted Investment Fund	\$1,001 - \$2,500
5.3.4	<b>GE - General Electric Company</b>	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
5.3.5	<b>ACSTX - Invesco Comstock A</b>	Mutual Funds Mutual Fund	Joint	\$100,001 - \$250,000	Excepted Investment Fund	\$5,001 - \$15,000
5.3.6	<b>Schwab Bank</b> (San Francisco, CA) Type: Money Market Account	Bank Deposit	Joint	\$1,001 - \$15,000	None	None (or less than \$201)
5.3.7	<b>SJW - SJW Group</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
5.3.8	<b>SCCO - Southern Copper Corporation</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
5.3.9	<b>UNP - Union Pacific Corporation</b>	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
5.3.10	<b>XAR - SPDR S&amp;P Aerospace &amp; Defense ETF</b>	Mutual Funds Exchange Traded Fund/Note	Joint	\$15,001 - \$50,000	Excepted Investment Fund	\$201 - \$1,000

	Asset	Asset Type	Owner	Value	Income Type	Income
5.3.11	<b>IAT - iShares U.S. Regional Banks ETF</b>	Mutual Funds Exchange Traded Fund/Note	Joint	\$15,001 - \$50,000	Excepted Investment Fund	\$201 - \$1,000
5.3.12	<b>WAB - Westinghouse Air Brake Technologies Corporation Co</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None	None (or less than \$201)
5.3.13	<b>SNSXX - Schwab US Treasury Money Market</b> (San Francisco, CA) <i>Type: Money Market Account</i>	Bank Deposit	Joint	\$50,001 - \$100,000	Excepted Investment Fund	\$2,501 - \$5,000
5.3.14	<b>WBD - Warner Bros. Discovery, Inc. - Series A Common Sto</b> <i>Filer comment: Value less than \$1,000</i>	Corporate Securities Stock	Joint	None (or less than \$1,001)	None	None (or less than \$201)
5.3.15	<b>VWESX - Vanguard Long-Term Investment Grade Fund Investo</b>	Mutual Funds Mutual Fund	Joint	\$1,001 - \$15,000	Excepted Investment Fund	\$201 - \$1,000
5.3.16	<b>GEHC - GE HealthCare Technologies Inc. - Common Stock</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None	None (or less than \$201)
5.3.17	<b>GEV - GE Vernova Inc. Common Stock</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	None	None (or less than \$201)
5.4	<b>Brokerage #4</b>	Brokerage/Managed Account	Joint	--		
5.4.1	<b>FCNTX - Fidelity Contrafund</b>	Mutual Funds Mutual Fund	Joint	\$250,001 - \$500,000	Excepted Investment Fund	\$5,001 - \$15,000
5.4.2	<b>FGOVX - Fidelity Government Income</b>	Mutual Funds Mutual Fund	Joint	\$1,001 - \$15,000	Excepted Investment Fund	None (or less than \$201)
5.4.3	<b>FZDXX - Fidelity MMKT Premium Class</b>	Mutual Funds Mutual Fund	Joint	\$15,001 - \$50,000	Excepted Investment Fund	\$201 - \$1,000

	Asset	Asset Type	Owner	Value	Income Type	Income
5.4.4	<b><u>FSKAX</u> - Fidelity Total Market Index</b>	Mutual Funds Mutual Fund	Joint	\$100,001 - \$250,000	Excepted Investment Fund	\$1,001 - \$2,500
5.4.5	<b><u>SPHIX</u> - Fidelity High Income</b>	Mutual Funds Mutual Fund	Joint	\$50,001 - \$100,000	Excepted Investment Fund	\$2,501 - \$5,000
5.5	<b>Wells Fargo</b> (Portland, OR) <i>Type: Checking</i>	Bank Deposit	Joint	\$50,001 - \$100,000	None	None (or less than \$201)
5.6	<b>Rosen Family Limited Partnership</b> <i>Company: Rosen Family Limited Partnership (Henderson, NV) Description: Investment Entity</i>	Business Entity Limited Partnership (LP)	Joint	--		
5.6.1	<b>Brokerage #5</b>	Brokerage/Managed Account	Joint	--		
5.6.1.1	<b><u>AGNC</u> - AGNC Investment Corp.</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
5.6.1.2	<b><u>APA</u> - Apache Corporation</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None	None (or less than \$201)
5.6.1.3	<b><u>T</u> - AT&amp;T Inc.</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
5.6.1.4	<b><u>CRI</u> - Carter's, Inc.</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
5.6.1.5	<b><u>CSCO</u> - Cisco Systems, Inc.</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
5.6.1.6	<b><u>CSRSX</u> - Cohen &amp; Steers Realty Shares Fund</b>	Mutual Funds Mutual Fund	Joint	\$50,001 - \$100,000	Excepted Investment Fund	\$1,001 - \$2,500

	Asset	Asset Type	Owner	Value	Income Type	Income
5.6.1.7	<b><u>CMI</u> - Cummins Inc.</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
5.6.1.8	<b><u>EBAY</u> - eBay Inc.</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None	None (or less than \$201)
5.6.1.9	<b><u>FCNTX</u> - Fidelity Contrafund Fund</b>	Mutual Funds Mutual Fund	Joint	\$500,001 - \$1,000,000	Excepted Investment Fund	\$15,001 - \$50,000
5.6.1.10	<b><u>FEQTX</u> - Fidelity Equity Dividend Income Fund</b>	Mutual Funds Mutual Fund	Joint	\$100,001 - \$250,000	Excepted Investment Fund	\$5,001 - \$15,000
5.6.1.11	<b><u>SPHIX</u> - Fidelity High Income Fund</b>	Mutual Funds Mutual Fund	Joint	\$50,001 - \$100,000	Excepted Investment Fund	\$2,501 - \$5,000
5.6.1.12	<b><u>FRESX</u> - Fidelity Real Estate Investment Portfolio</b>	Mutual Funds Mutual Fund	Joint	\$50,001 - \$100,000	Excepted Investment Fund	\$2,501 - \$5,000
5.6.1.13	<b><u>FXNAX</u> - Fidelity U.S. Bond Index Fund</b>	Mutual Funds Mutual Fund	Joint	\$100,001 - \$250,000	Excepted Investment Fund	\$2,501 - \$5,000
5.6.1.14	<b><u>GE</u> - General Electric Company</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	None	None (or less than \$201)
5.6.1.15	<b><u>HPE</u> - Hewlett Packard Enterprise Company</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
5.6.1.16	<b><u>HPQ</u> - HP Inc.</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
5.6.1.17	<b><u>ICCC</u> - ImmuCell Corporation</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None	None (or less than \$201)
5.6.1.18	<b><u>SLV</u> - iShares Silver Trust</b>	Mutual Funds Exchange Traded Fund/Note	Joint	\$15,001 - \$50,000	Excepted Investment Fund	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
5.6.1.19	<b><u>JAZZ</u> - Jazz Pharmaceuticals plc</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	None	None (or less than \$201)
5.6.1.20	<b><u>KEYS</u> - Keysight Technologies, Inc.</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None	None (or less than \$201)
5.6.1.21	<b><u>PDCO</u> - Patterson Companies, Inc.</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
5.6.1.22	<b><u>PYPL</u> - PayPal Holdings, Inc.</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	None	None (or less than \$201)
5.6.1.23	<b><u>PFE</u> - Pfizer Inc.</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
5.6.1.24	<b><u>PTTAX</u> - PIMCO Total Return Fund Class A</b>	Mutual Funds Mutual Fund	Joint	\$50,001 - \$100,000	Excepted Investment Fund	\$2,501 - \$5,000
5.6.1.25	<b><u>PPL</u> - PPL Corporation</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
5.6.1.26	<b><u>GLD</u> - SPDR ETF</b>	Mutual Funds Exchange Traded Fund/Note	Joint	\$15,001 - \$50,000	Excepted Investment Fund	None (or less than \$201)
5.6.1.27	<b><u>SHO</u> - Sunstone Hotel Investors, Inc.</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
5.6.1.28	<b><u>TRV</u> - The Travelers Companies, Inc.</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
5.6.1.29	<b><u>OLED</u> - Universal Display Corporation</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
5.6.1.30	<b><u>VFICX</u> - Vanguard Intermediate-Term Investment-Grade Fund I</b>	Mutual Funds Mutual Fund	Joint	\$100,001 - \$250,000	Excepted Investment Fund	\$5,001 - \$15,000

	Asset	Asset Type	Owner	Value	Income Type	Income
5.6.1.31	<b>VWIGX - Vanguard International Growth Fund Investor Shares</b>	Mutual Funds Mutual Fund	Joint	\$50,001 - \$100,000	Excepted Investment Fund	\$5,001 - \$15,000
5.6.1.32	<b>VWINX - Vanguard Wellesley Income Fund Investor Shares</b>	Mutual Funds Mutual Fund	Joint	\$100,001 - \$250,000	Excepted Investment Fund	\$5,001 - \$15,000
5.6.1.33	<b>VZ - Verizon Communications Inc.</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
5.6.1.34	<b>ELME - Elme Communities Common Stock</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
5.6.1.35	<b>XAR - SPDR S&amp;P Aerospace &amp; Defense ETF</b>	Mutual Funds Exchange Traded Fund/Note	Joint	\$15,001 - \$50,000	Excepted Investment Fund	\$201 - \$1,000
5.6.1.36	<b>WTRG - Essential Utilities, Inc.</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
5.6.1.37	<b>SPAXX - Fidelity Government Money Market</b>	Mutual Funds Mutual Fund	Joint	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
5.6.1.38	<b>WBD - Warner Bros. Discovery, Inc. - Series A Common Sto</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None	None (or less than \$201)
5.6.1.39	<b>GEHC - GE HealthCare Technologies Inc. - Common Stock</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None	None (or less than \$201)
5.6.1.40	<b>GEV - GE Vernova Inc. Common Stock</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None	None (or less than \$201)
5.6.2	<b>Brokerage #6</b>	Brokerage/Managed Account	Joint	--		

	Asset	Asset Type	Owner	Value	Income Type	Income
5.6.2.1	<b><u>VFIAX</u> - Vanguard 500 Index Fund Admiral Shares</b> <i>Filer comment: Transferred to Brokerage #7</i>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Excepted Investment Fund	\$1,001 - \$2,500
5.6.2.2	<b><u>VWEAX</u> - Vanguard High-Yield Corporate Fund Admiral Shares</b> <i>Filer comment: Transferred to Brokerage #7</i>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Excepted Investment Fund	\$5,001 - \$15,000
5.6.2.3	<b><u>VFIDX</u> - Vanguard Intermediate-Term Investment-Grade Fund A</b> <i>Filer comment: Transferred to Brokerage #7</i>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Excepted Investment Fund	\$2,501 - \$5,000
5.6.2.4	<b><u>VWNAX</u> - Vanguard Windsor II Fund Admiral Shares</b> <i>Filer comment: Transferred to Brokerage #7</i>	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Excepted Investment Fund	\$2,501 - \$5,000
5.6.3	<b>Wells Fargo</b> (Portland, OR) <i>Type: Checking</i>	Bank Deposit	Joint	\$100,001 - \$250,000	None	None (or less than \$201)
5.6.4	<b>Brokerage #7</b>	Brokerage/Managed Account	Joint	--		
5.6.4.1	<b><u>FAX</u> - Aberdeen Asia-Pacific Income Fund, Inc.</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
5.6.4.2	<b><u>ADBE</u> - Adobe Inc.</b>	Corporate Securities Stock	Joint	\$100,001 - \$250,000	None	None (or less than \$201)
5.6.4.3	<b><u>AFL</u> - Aflac Incorporated</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
5.6.4.4	<b><u>ALLE</u> - Allegion plc</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
5.6.4.5	<b><u>AMZN</u> - Amazon.com, Inc.</b>	Corporate Securities Stock	Joint	\$250,001 - \$500,000	None	None (or less than \$201)
5.6.4.6	<b><u>AGNC</u> - AGNC Investment Corp.</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
5.6.4.7	<b><u>AEP</u> - American Electric Power Company, Inc.</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
5.6.4.8	<b><u>AMGN</u> - Amgen Inc.</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
5.6.4.9	<b><u>NLY</u> - Annaly Capital Management, Inc.</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
5.6.4.10	<b><u>AAPL</u> - Apple Inc.</b>	Corporate Securities Stock	Joint	\$100,001 - \$250,000	Dividends	\$201 - \$1,000
5.6.4.11	<b><u>T</u> - AT&amp;T Inc.</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
5.6.4.12	<b><u>BAC</u> - Bank of America Corporation</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
5.6.4.13	<b><u>B</u> - Barnes Group Inc.</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
5.6.4.14	<b><u>BDX</u> - Becton, Dickinson and Company</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
5.6.4.15	<b><u>BRK-B</u> - Berkshire Hathaway Inc.</b>	Corporate Securities Stock	Joint	\$100,001 - \$250,000	None	None (or less than \$201)
5.6.4.16	<b><u>BC</u> - Brunswick Corporation</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends	\$201 - \$1,000

	Asset	Asset Type	Owner	Value	Income Type	Income
5.6.4.17	<b><u>CE</u> - Celanese Corporation</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
5.6.4.18	<b><u>CHD</u> - Church &amp; Dwight Co., Inc.</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
5.6.4.19	<b><u>DLR</u> - Digital Realty Trust, Inc.</b>	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
5.6.4.20	<b><u>ENB</u> - Enbridge Inc.</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
5.6.4.21	<b><u>FHYTX</u> - Federated Hermes Opportunistic High Yield Bond F</b>	Mutual Funds Mutual Fund	Joint	\$15,001 - \$50,000	Excepted Investment Fund	\$1,001 - \$2,500
5.6.4.22	<b><u>FDX</u> - FedEx Corporation</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
5.6.4.23	<b><u>FITB</u> - Fifth Third Bancorp</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
5.6.4.24	<b><u>FMIJX</u> - FMI International Fund</b>	Mutual Funds Mutual Fund	Joint	\$15,001 - \$50,000	Excepted Investment Fund	\$2,501 - \$5,000
5.6.4.25	<b><u>GE</u> - General Electric Company</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	None	None (or less than \$201)
5.6.4.26	<b><u>GILD</u> - Gilead Sciences, Inc.</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
5.6.4.27	<b><u>BOTZ</u> - Global X Robotics &amp; Artificial Intelligence ETF</b>	Mutual Funds Exchange Traded Fund/Note	Joint	\$15,001 - \$50,000	Excepted Investment Fund	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
5.6.4.28	<b>DOC - Healthpeak Properties Inc</b> <i>Filer comment: Formerly reported as PEAK - Healthpeak Properties, Inc.</i>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
5.6.4.29	<b>ITW - Illinois Tool Works Inc.</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
5.6.4.30	<b>ICCC - ImmuCell Corporation</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None	None (or less than \$201)
5.6.4.31	<b>IR - Ingersoll-Rand Plc</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	None	None (or less than \$201)
5.6.4.32	<b>INTC - Intel Corporation</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
5.6.4.33	<b>VAFAX - Invesco American Franchise Fund Class A</b>	Mutual Funds Mutual Fund	Joint	\$1,001 - \$15,000	Excepted Investment Fund	\$201 - \$1,000
5.6.4.34	<b>LCEIX - Invesco Diversified Dividend Fund Investor Class</b>	Mutual Funds Mutual Fund	Joint	\$15,001 - \$50,000	Excepted Investment Fund	\$1,001 - \$2,500
5.6.4.35	<b>IWO - iShares Russell 2000 Growth ETF</b>	Mutual Funds Exchange Traded Fund/Note	Joint	\$50,001 - \$100,000	Excepted Investment Fund	\$201 - \$1,000
5.6.4.36	<b>SLV - iShares Silver Trust</b>	Mutual Funds Exchange Traded Fund/Note	Joint	\$50,001 - \$100,000	Excepted Investment Fund	None (or less than \$201)
5.6.4.37	<b>JAWWX - Janus Henderson Global Research Fund Class T</b>	Mutual Funds Mutual Fund	Joint	\$100,001 - \$250,000	Excepted Investment Fund	\$5,001 - \$15,000
5.6.4.38	<b>LSTR - Landstar System, Inc.</b>	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500

	Asset	Asset Type	Owner	Value	Income Type	Income
5.6.4.39	<b><u>LAZ</u> - Lazard Ltd</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
5.6.4.40	<b><u>MOO</u> - VanEck Vectors Agribusiness ETF</b>	Mutual Funds Exchange Traded Fund/Note	Joint	\$15,001 - \$50,000	Excepted Investment Fund	\$201 - \$1,000
5.6.4.41	<b><u>GDX</u> - VanEck Vectors Gold Miners ETF</b>	Mutual Funds Exchange Traded Fund/Note	Joint	\$50,001 - \$100,000	Excepted Investment Fund	\$1,001 - \$2,500
5.6.4.42	<b><u>TAP</u> - Molson Coors Brewing Company</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
5.6.4.43	<b><u>NVS</u> - Novartis AG</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
5.6.4.44	<b><u>PTTAX</u> - PIMCO Total Return Fund Class A</b>	Mutual Funds Mutual Fund	Joint	\$15,001 - \$50,000	Excepted Investment Fund	\$201 - \$1,000
5.6.4.45	<b><u>ST</u> - Sensata Technologies Holding plc</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
5.6.4.46	<b><u>GLD</u> - SPDR Gold Trust</b>	Mutual Funds Exchange Traded Fund/Note	Joint	\$100,001 - \$250,000	None	None (or less than \$201)
5.6.4.47	<b><u>TPR</u> - Tapestry, Inc.</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
5.6.4.48	<b><u>VFC</u> - V.F. Corporation</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
5.6.4.49	<b><u>VLO</u> - Valero Energy Corporation</b>	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
5.6.4.50	<b><u>VEU</u> - Vanguard FTSE All-World ex-US Index Fund ETF Share</b>	Mutual Funds Exchange Traded Fund/Note	Joint	\$15,001 - \$50,000	Excepted Investment Fund	\$1,001 - \$2,500

	Asset	Asset Type	Owner	Value	Income Type	Income
5.6.4.51	<b><u>VWEHX</u> - Vanguard High-Yield Corporate Fund Investor Shares</b>	Mutual Funds Mutual Fund	Joint	\$15,001 - \$50,000	Excepted Investment Fund	\$1,001 - \$2,500
5.6.4.52	<b><u>VWESX</u> - Vanguard Long-Term Investment-Grade Fund Investor</b>	Mutual Funds Mutual Fund	Joint	\$50,001 - \$100,000	Excepted Investment Fund	\$2,501 - \$5,000
5.6.4.53	<b><u>GWW</u> - W.W. Grainger, Inc.</b>	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
5.6.4.54	<b><u>WFC</u> - Wells Fargo &amp; Company</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
5.6.4.55	<b><u>VZ</u> - Verizon Communications Inc.</b>	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
5.6.4.56	<b><u>KIE</u> - SPDR S&amp;P Insurance ETF</b>	Mutual Funds Exchange Traded Fund/Note	Joint	\$50,001 - \$100,000	Excepted Investment Fund	\$1,001 - \$2,500
5.6.4.57	<b><u>ALC</u> - Alcon, Inc.</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None	None (or less than \$201)
5.6.4.58	<b><u>D</u> - Dominion Energy, Inc.</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
5.6.4.59	<b><u>KTB</u> - Kontoor Brands, Inc.</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None	None (or less than \$201)
5.6.4.60	<b><u>SNSXX</u> - The Charles Schwab Family of Funds - Schwab U.S. T</b>	Mutual Funds Mutual Fund	Joint	\$100,001 - \$250,000	Excepted Investment Fund	\$2,501 - \$5,000
5.6.4.61	<b><u>TT</u> - Trane Technologies plc</b>	Corporate Securities Stock	Joint	\$100,001 - \$250,000	Dividends	\$201 - \$1,000
5.6.4.62	<b>Charles Schwab</b> (San Francisco, CA) Type: Brokerage Sweep Account	Bank Deposit	Joint	\$15,001 - \$50,000	None	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
5.6.4.63	<b>WBD - Warner Bros. Discovery, Inc. - Series A Common Sto</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None	None (or less than \$201)
5.6.4.64	<b>GEHC - GE HealthCare Technologies Inc. - Common Stock</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None	None (or less than \$201)
5.6.4.65	<b>RSP - Invesco S&amp;P 500 Equal Weight ETF</b>	Mutual Funds Exchange Traded Fund/Note	Joint	\$1,001 - \$15,000	Excepted Investment Fund	None (or less than \$201)
5.6.4.66	<b>SDZNY - Sandoz Group AG</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None	None (or less than \$201)
5.6.4.67	<b>US Treasury Bills</b> <i>Rate/Coupon: None</i> <i>Matures: None</i> <i>Filer comment: Fully matured</i>	Government Securities US Treasury/Agency Security	Joint	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
5.6.4.68	<b>VFICX - Vanguard Intermediate-Term Investment Grade Fund</b>	Mutual Funds Mutual Fund	Joint	\$15,001 - \$50,000	Excepted Investment Fund	\$201 - \$1,000
5.6.4.69	<b>WAB - Wabtec Corp</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None	None (or less than \$201)
5.6.4.70	<b>GEV - GE Vernova Inc. Common Stock</b>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None	None (or less than \$201)
5.6.4.71	<b>VWEAX - Vanguard High-Yield Corporate Fund Admiral Share</b>	Mutual Funds Mutual Fund	Joint	\$100,001 - \$250,000	Excepted Investment Fund	\$1,001 - \$2,500
5.6.4.72	<b>VFIDX - Vanguard Intermediate-Term Investment Grade Fund</b>	Mutual Funds Mutual Fund	Joint	\$100,001 - \$250,000	Excepted Investment Fund	\$201 - \$1,000
5.6.4.73	<b>VWNAX - Vanguard Windsor II Fund Admiral Shares</b>	Mutual Funds Mutual Fund	Joint	\$250,001 - \$500,000	Excepted Investment Fund	\$15,001 - \$50,000

	Asset	Asset Type	Owner	Value	Income Type	Income
5.6.4.74	<b>VFIAX - Vanguard 500 Index Fd Admiral Shs</b>	Mutual Funds Mutual Fund	Joint	\$100,001 - \$250,000	Excepted Investment Fund	\$201 - \$1,000
6	<b>Wells Fargo</b> (Portland, OR) <i>Type: Savings</i>	Bank Deposit	Joint	\$1,001 - \$15,000	None	None (or less than \$201)

### Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you.

### Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset where the transaction exceeded \$1,000 and was not reported on Part 4a? **Yes**

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comments
1	Joint	<u>TWCUX</u>	American Century Ultra Investor Class	Purchase	12/17/2024	\$15,001 - \$50,000	n/a
2	Spouse	<u>FCNTX</u>	Fidelity Contra Fund	Purchase	12/06/2024	\$1,001 - \$15,000	n/a
3	Spouse	<u>JACTX</u>	Janus Henderson Forty Fund - T Shares	Purchase	12/06/2024	\$15,001 - \$50,000	n/a
4	Spouse	<u>EVTMX</u>	Eaton Vance Dividend Builder Fd Cl A	Purchase	12/10/2024	\$1,001 - \$15,000	n/a
5	Spouse	<u>ACSTX</u>	Invesco Comstock Fund Class A	Purchase	12/12/2024	\$15,001 - \$50,000	n/a
6	Spouse	<u>MSIGX</u>	Invesco Main Street Fund Class A	Purchase	12/16/2024	\$1,001 - \$15,000	n/a
7	Spouse	<u>VWINX</u>	Vanguard Wellesley Income Fund	Purchase	12/18/2024	\$1,001 - \$15,000	n/a
8	Spouse	<u>HACAX</u>	Harbor Capital Appreciation Fund Insti Cl	Purchase	12/20/2024	\$15,001 - \$50,000	n/a
9	Spouse	<u>VGSTX</u>	Vanguard Star Fund	Purchase	12/30/2024	\$1,001 - \$15,000	n/a
10	Joint	<u>ACSTX</u>	Invesco Comstock Fund Class A	Purchase	12/12/2024	\$1,001 - \$15,000	n/a
11	Joint	<u>FSKAX</u>	Fidelity Total Market Index Fund	Purchase	12/20/2024	\$1,001 - \$15,000	n/a
12	Self	<u>FEQIX</u>	Fidelity Equity Income Fund	Purchase	12/20/2024	\$1,001 - \$15,000	n/a
13	Self	<u>FMAGX</u>	Fidelity Magellan	Purchase	12/06/2024	\$1,001 - \$15,000	n/a
14	Self	<u>FPURX</u>	Fidelity Puritan Fund	Purchase	10/11/2024	\$1,001 - \$15,000	n/a
15	Self	<u>FPURX</u>	Fidelity Puritan Fund	Purchase	12/20/2024	\$1,001 - \$15,000	n/a
16	Self	<u>FMAGX</u>	Fidelity Magellan	Purchase	05/10/2024	\$1,001 - \$15,000	n/a
17	Joint	<u>VWESX</u>	Vanguard Long-Term Investment Grade Fund Investo	Purchase	04/24/2024	\$15,001 - \$50,000	n/a

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comments
18	Spouse	<u>ACSTX</u>	Invesco Comstock Fund Class A	Purchase	03/28/2024	\$1,001 - \$15,000	n/a
19	Spouse	<u>VWINX</u>	Vanguard Wellesley Income Fund	Purchase	06/21/2024	\$1,001 - \$15,000	n/a
20	Spouse	<u>ACSTX</u>	Invesco Comstock Fund Class A	Purchase	06/27/2024	\$1,001 - \$15,000	n/a
21	Spouse	<u>VGSTX</u>	Vanguard Star Fund	Purchase	06/28/2024	\$1,001 - \$15,000	n/a
22	Spouse	<u>VWINX</u>	Vanguard Wellesley Income Fund	Purchase	09/20/2024	\$1,001 - \$15,000	n/a
23	Spouse	<u>ACSTX</u>	Invesco Comstock Fund Class A	Purchase	09/26/2024	\$1,001 - \$15,000	n/a

### Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

### Part 6. Travel

Did you, your spouse, or dependent child receive any **reportable travel**? **No**

### Part 7. Liabilities

Did you, your spouse, or dependent child have a reportable, non-revolving charge account liability worth more than \$10,000 at any time or a revolving charge account whose value exceeded \$10,000 as of the last day of the reporting period? **Yes**

#	Incurred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
1	2019	Joint	Mortgage	0	3.875% (30 years)	\$250,001 - \$500,000	Wells Fargo Bank, NA Sioux Falls, SD	n/a
2	2019	Joint	Other (Co-Op building loan)	-	0.00% (on demand)	\$100,001 - \$250,000	Capitol Hill Tower Housing Cooperative, In.c Washington, DC	n/a

## Part 8. Positions

Did you hold any reportable outside positions during the reporting period? **Yes**

#	Position Dates	Position Held	Entity	Entity Type	Comments
1	Sep 1996 to present	Other (General Partner)	Rosen Family Limited Partnership Henderson, NV	Partnership	n/a
2	Sep 1996 to present	Trustee	Larry and Jacklyn Rosen Family Trust Henderson, NV	Trust	n/a

## Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **No**

## Part 10. Compensation

*Only required if you are a candidate or this is your first report:* Did any person or entity pay more than \$5,000 to you or for services provided by you? **This is not my first report.**

## Attachments & Comments

*No attachments added.*

*No comments added.*